Hyper-Kamiokande Challenges in Precision Neutrino Physics

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Evolution of the Universe



PMU

Generations of Kamiokande



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Generations of Kamiokande



Kamiokande	<section-header></section-header>	<section-header></section-header>
1983 - 1996	1996 - ongoing	2027 and beyond
 Atmospheric (Atm) and solar neutrino "anomaly" Supernova 1987A Birth of neutrino astrophysics 	 Proton decay (world-leading limits) Neutrino oscillation (Atm, solar, beam) Co-discovery of neutrino oscillations	 Extended search for proton decay Precision measurement of oscillations, including CP violation Neutrino astrophysics

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Hyper-K Far Site Overview





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Tunnel Excavation Complete





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Water System Cavity





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Hyper-K Schedule



Finish all preparations within ~2 years from now for detector installation



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Building a Neutrino Beam in Japan <u><u></u></u>





Japan Proton Accelerator Research Complex & Neutrino Beamline



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Hyper-Kamiokande: A Next Generation Experiment





- Precise systematic understanding becomes critical to the % level
 - Near detectors and photon detectors
 - Calibration and event reconstruction techniques Ο
 - Supporting external data Ο

Hyper-K

188 kton

68 m

8 x

(IWCD)

PMU

Unprecedented Statistical Precision



Event rates for different assumptions of true δ_{CP}

- Hyper-K aims to collect 1000s of v_e and $\overline{v_e}$ appearance events
 - Can measure CP violation (CPV) with ~3% statistical uncertainty!
- Controlling systematics becomes critical!



CP Violation Discovery Potential

 Improved understanding of systematic errors is required for a robust and timely discovery of CPV

 Controlling systematics becomes critical!



T2K Oscillation Analysis Framework





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Neutrino Oscillation Systematic Error Budget





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Future of the Near Detector Suite





- Lower proton energy threshold and neutron detection capability
- ND280++ still needs consideration for HK

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3D neutrino detector

Future of the Near Detector Suite

IWCD



Neutrino beam

@280m

igh Angl

Super Fine-Grained

Detector (SFGD)

(Technical Design Report on T2K, arXiv:1901.03750)

- Novel off-axis spanning Intermediate Water
 Cherenkov
 Detector for
 Hyper-K
 - Handle on far detector observables' dependence on neutrino energy
 - Precise cross-section measurements on water
- ND280 upgrade ready for Nov. 2023 beam
 - \circ $\,$ $\,$ Increase phase space coverage, similar to SK $\,$
 - Lower proton energy threshold and neutron detection capability
- ND280++ still needs consideration for HK

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Solenoid Coi

3D neutrino detector

Barrel ECA

1~2 km

 (\mathbf{n})

The NuPRISM Concept

Neutrino energy spectrum depends on off-axis angle (OOA) to the neutrino beam source.

Moving IWCD vertically \rightarrow varying off-axis angle \rightarrow measurements with differing energy spectra.

Linear combinations of measurements at off-axis angles can mimic a monochromatic beam, or the far-detector spectrum effectively *bypassing neutrino interaction modeling deficiencies*...





Water Cherenkov Detector Systematics



... Then understanding the detector becomes more important



Assumed spatially uniform and same for v and \overline{v} ; Also, Atm-v non-existent for IWCD!

> Systematic errors in event selection and energy scale assigned from data/MC discrepancies in cosmic ray and atmospheric v data



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Water Cherenkov Detector Systematics



... Then understanding the detector becomes more important

"T2K 2021 syst.": Phys. Rev. D 103, 112008



Water Cherenkov Detector Systematics



Geometry (detector and calibration devices)



Photogrammetry Water quality (light scattering, absorption)

PMT and wall reflectivity

Light injectors

Residual magnetic fields





PMT angular response, SPE gain QE, timing, dark noise

Constrained Modeling of the Experiment



- A coherent method exists for constraining (degenerate) fundamental physics parameters of the neutrino flux and interactions with comprehensive measurements
- This still needs to be developed for complex detector parameters



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The Need for IWCD and New Event Reconstruction



e I μ Classification in IWCD



- Constrain $\frac{\sigma(v_e)/\sigma(v_{\mu})}{\sigma(\bar{v_e})/\sigma(\bar{v_{\mu}})}$ using 1% intrinsic $v_e(\bar{v_e})$ in beam
- Need >~1000 in μ rejection (>99.9%)
- Can be achieved in IWCD with machine learning (ML)
 - Further prospects of expanding fiducial volume





Gamma (y) Identification

• Need data driven constraints on γ backgrounds



- Potential discrimination shown for the first time
- ML shows promise with at least some statistical separation

 $\nu_{e/\mu}$

N

 $^{
u}e/\mu$



e-like



The Water Cherenkov Test Experiment (WCTE)

- Prototype for IWCD at CERN in 2024
- Well-understood p, e, π^{\pm} , μ^{\pm} , γ particle beam from 140-1200 MeV/c
 - Control samples to constrain neutrino experiment modeling:
 - Detector response: Cherenkov light emission; π^{\pm} interactions
 - Neutrino flux & interactions: lepton scattering and hadron production
 - Immediate impact to existing experiments (T2K, Super-K)
- Demonstration of these new ML simulation and calibration techniques for WC, and optimization towards Hyper-K/IWCD



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3.8 m

~102 mPMT modules x19. 3" PMTs each

3.6 m

Summary



- Exciting physics program in Hyper-Kamiokande
 - CP violation, proton decay, multi-messenger astronomy, and more!
- Low level developments required to achieve full potential
 - \circ WCTE \rightarrow IWCD, calibration, and machine learning
- Seeking new collaborators and contributions!
 - Components of far detector, IWCD, and ND280++

Thank you!

Appendix

Neutrino Interactions







Event Topologies





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Super-K Calibration Concepts

- Incident angles and hit distribution of the light are different for calibrations
 - PMT angular response can create systematic uncertainty
 - main systematic uncertainty in SNO even with the spherical detector



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Super-K Calibration Concepts



- Particle identification and Ring counting systematics from atm. v and cosmic μ
 - Data fit and Data-MC comparison of the likelihood distributions
 - The J-PARC signal is going west and this check even may not cover the uncertainty
 - \bar{v} is more forward (west direction) than v [this understanding is critical for CP violation]



The fiTQun Reconstruction Algorithm





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The fiTQun Reconstruction Algorithm





• In practice, "predicted charge" is first calculated: $\mu = \mu^{dir} + \mu^{sct}$ which is used in the likelihood evaluation, where the direct light contribution is:



Particle ID
 information encoded
 here and extracted
 from likelihood
 comparison of
 different hypotheses

Classification Performance on Super-K Atmospheric v IPMU



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2000

3000

Neutral Pion (π^0) Identification





- fiTQun hypothesizes two electron tracks from 1 vertex
 - Including new γ conversion length parameters, in addition to previous single track parameters
- 65% background reduction with
 ~6% signal loss
 - ~Twice more than APFit





Multi-ring Reconstruction

- FiTQun can currently reconstruct up to 6 rings in a staged approach
 - Each step sequentially adds a "tracklike" (π⁺) or "shower-like" (e) ring
 - The chain terminates when adding a ring does not sufficiently improve the fit
- Ring counting & PID are significantly improved



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Reconstructed "Mean" Charge

4000

4000



M. Wilking

v Multi-ring (Lepton + π^{t}) Samples





- Various combinations of multi-ring likelihoods can be used to explicitly reconstruct final states
- Can further improvements come from ML?

T2K work-in-progress	$v_{\mu} (\mu + \pi^{\pm})$	$v_e (e + \pi^{\pm})$
Efficiency (%)	83 to 93	60 to 70
Purity (%)	30 to 48	50 to 60
Increase in oscillation sample statistics (%)	30	4 to 12

Multi-Vertex Event Topologies

- So far have considered only single-vertex events
- Need to consider multi-vertex events too
 - Application of ResNet ongoing





Proton Decay



- Design dedicated fiTQun hypotheses based on the signal event topology
- Fit $\mu + \gamma$ tracks, assuming same vertex but different times G. Santucci μ⁺ γ Tagging Efficiency -Preliminary ν 0.8 K+ fiTQun ∆t ~ 12 ns 0.6 **APFit** 0.4 \mathbf{O} D 0.2 10 15 20 25 True Δt (ns) ν prompt • $9.4\% \rightarrow 13.9\% (1.5x)$ 6 MeV y efficiency gain with similar background
- arXiv:2208.13188 Assume second reconstructed vertex for primary secondary vertex vertex additional rings π after the first u^+ K_L^0 p v_{sep} Improved background rejection ($\sim \frac{1}{3}$) Number of events with similar 20 efficiency 10 (~90%) Backgrou Signal compared to Vertex separation (m) **APFit**

Reconstruction Performance on Super-K Atmospheric v 👘 🖞

 fiTQun vertex position, momentum, and direction reconstruction improved relative to APFit





Reconstruction Performance with DL in IWCD





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Computing Time Improvement

- Growing MC (and data) sample sizes in high precision era
- Event reconstruction becoming a computing time limiting factor
 - Especially in systematic error studies varying a large number of detector parameters
- fiTQun: ~90 seconds per event on CPU (for e, μ , π 0 hypotheses in IWCD)
 - Multi-ring events >~5 minutes
- ResNet: ~6 ms per event on GPU (for classification and e, μ regression)
- Factor of 10⁵ speed-up
 - But actual throughput will depend on how many GPUs you can afford
- Assuming the size and cost of the small CPU and GPU clusters at IPMU:
 - ~ 5000x more throughput with the \$ spent on GPUs instead

Low Energy (2.5 - 8 MeV) Applications



- Sparser images → CNNs tend to perform less well
 - e.g. ResNet below is susceptible to noise model





Typical low energy data event with PMT relative times. Reconstructed Cherenkov cone in white.

- Investigating alternative networks, e.g. <u>DGCNN</u>
- Though simpler BDT/NN using input features showing similar performance



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Hadron Production for Neutrino Flux Modeling

- Experiment to Measure the Production of Hadrons At a Testbeam In Chicagoland
- Constraints on beam and atmospheric v flux predictions
 - For T2K, SK, HK, NOvA, DUNE
- At Fermilab Test Beam Facility
 - **2018**: Pilot run, paper finished collaboration review
 - **2020**: Phase I (limited acceptance 150 mrad) \rightarrow postponed to fall 2021
 - 2022: Phase II, full acceptance 400 mrad



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Novel Detector Geometry Calibration



- First underwater survey of Super-K detector geometry
- Challenging photogrammetry analysis ongoing
 - Demonstrated with a ring of ID barrel PMTs
- Developing new systems for Hyper-K and IWCD
 - \circ $\,$ Critical for a moving detector $\,$







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z (cm)

-1000

Precise and Comprehensive PMT Characterization

- Uncertainties in PMT response is a major systematic in water Cherenkov detectors
- Preparing ex-situ facilities for campaign of pre-calibration measurements

Magnetic field and PMT orientation survey throughout Super-K





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- 1998: discovery of atmospheric v flavor transformation
- 2001: discovery of solar v flavor transformation with SNO
- 2004: confirmation of atmospheric v oscillation by K2K
- 2012: first evidence for τ appearance
- 2013: first direct indication of v osc. matter effects
- Ongoing searches for nucleon decay, DM, supernovae...











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T2K-SK Single-Ring Datasets for CPV Analysis

- Updated Super-K datasets used for 2020 CPV analysis
 - $\circ v_{\mu}$ disappearance
 - \circ v_{e} appearance
 - Only one visible
 Cherenkov ring



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T2K-SK Multi-Ring Datasets for Future Analyses

- Second dominant interaction channel: resonant 1π production
- Expected to improve oscillation parameter measurements
 - E.g. ~12% increase in v_e signal statistics
- New BDT pushing the limits of traditional likelihood reconstruction algorithm



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Rich Science with Hyper-Kamiokande

Multi-Messenger: Supernova, GW,





Solar Neutrinos



Atmospheric Neutrinos π^0 π v_{μ}

Design report: arXiv:1805.04163

Accelerator Neutrinos



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KAVL

Rich Science with Hyper-Kamiokande

Multi-Messenger [arXiv:2101.05269]





Solar Neutrinos



Atmospheric Neutrinos π⁺ π⁰ Hyper-K Work in Progress

Beam only

0.57

 0.2π

Design report: arXiv:1805.04163

Accelerator Neutrinos

 -1.0π

 -0.8π

 -0.5π

 -0.2π



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Proton Decay



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KAVL

Neutrino Flux Spectra

Information comes from neutrinos over ~25 orders of magnitude in energy!

Grand Unified Neutrino Spectrum at Earth Edoardo Vitagliano, Irene Tamborra, Georg Raffelt. Oct 25, 2019. 54 pp. MPP-2019-205 e-Print: arXiv:1910.11878 [astro-ph.HE] | PDF



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Neutrino detector masses and sensitive energy ranges ipmu

Kate Scholberg (Duke), TIPP 2021



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Neutrino Oscillation L/E Scales





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Hyper-K Far Detector Concept



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Hyper-K Long-Baseline Physics





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Hyper-K Proton Decay



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Supernova Burst in Hyper-K





Hyper-K Supernova Relic Neutrinos



SRN can be observed by HK in 10y with \sim 70±17 events. It is > 4 σ for SRN signal.





Hyper-K Solar Neutrinos





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Beam Line Upgrades Towards T2K-II and Hyper-K



- Increase beam power from ~500 kW to 1.3 MW
- Many upgrades to neutrino beamline components
 - Target, beam monitors, etc.
- Increase horn current from 250 → 320 kA
 - 10% more neutrinos and reduced wrong-sign background





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IWCD & Hyper-K Photosensor Development



Multi-PMT (mPMT): 19 x 3" diameter PMTs in a watertight vessel with HV and electronics

- Pulsed and continuous LEDs for calibration:
 - PMT timing
 - Water properties
 - Detector geometry
- Sensors for magnetic field monitoring

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The Need for a New Near Detector





Differing energy spectra between near and far detectors

Need to reduce to <3% for Hyper-K

IWCD Measurement of v_e ($\overline{v_e}$)



Constrain $\frac{\sigma(\mathbf{v}_{e})/\sigma(\mathbf{v}_{\mu})}{\sigma(\bar{\mathbf{v}_{e}})/\sigma(\bar{\mathbf{v}_{\mu}})}$ using **1%** \mathbf{v}_{e} ($\bar{\mathbf{v}_{e}}$) contamination in beam

y background mostly mitigated by water Cherenkov active shielding



