



TAUP 2025  
Xichang, 24-30 August 2025



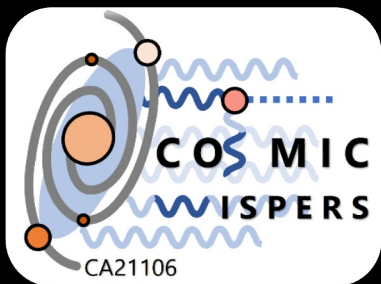
# Getting the most on Supernova axions

Alessandro Lella

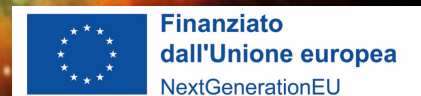


Based on:

*AL, P. Carenza, G. Co', G. Lucente, M. Giannotti,  
A. Mirizzi, T. Rauscher, Phys. Rev. D 109 (2024) 2*



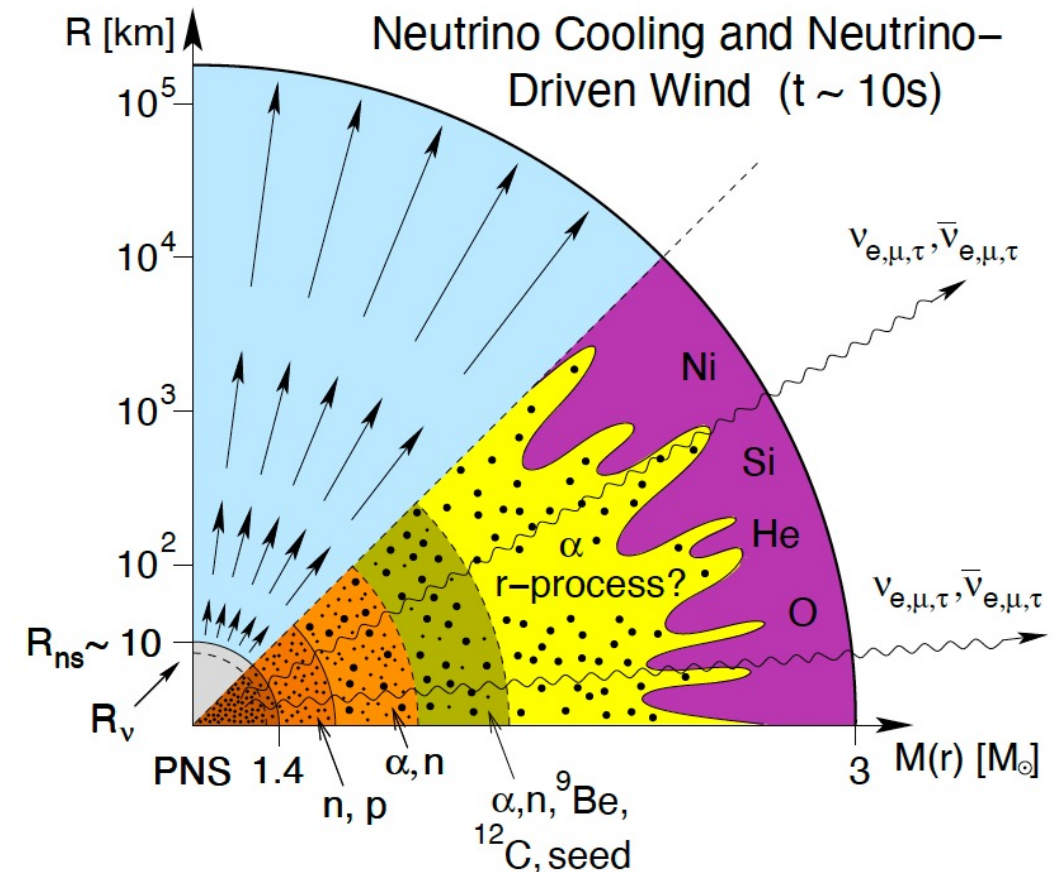
Physics Department of «Aldo Moro» University in Bari  
Istituto Nazionale di Fisica Nucleare



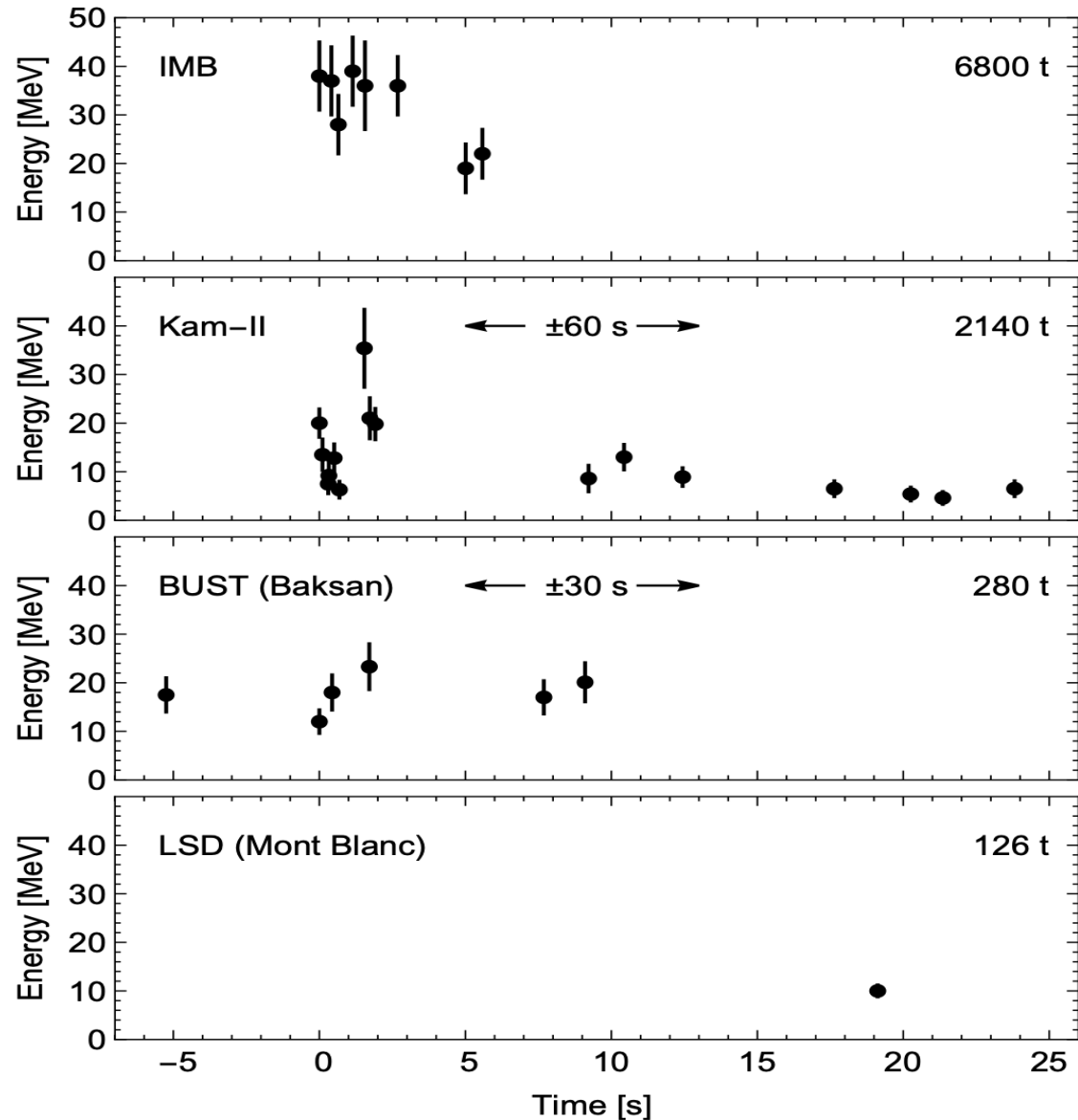
# Core-Collapse Supernovae

A Supernova is the terminal phase of a massive star [ $M \geq 8 M_{\odot}$ ].  
Gravitational collapse triggered by the formation of a degenerate iron core.

- Incompressible nuclear matter in the core  
→ shock-wave driven explosion
- Formation of a Proto-Neutron star at the centre ( $R \sim 10$  km,  $M \sim 1.5 M_{\odot}$ ).
- Cooling via neutrino emission of all species (99% of total energy)
  - $E \sim 10^{53}$  erg,  $t \sim 10$  s.



# SN 1987A



➤ From SN 1987A neutrino burst observations:

- Duration of the burst  $\sim 10$  s.
- $\langle E_\nu \rangle \approx 15$  MeV.

➤ Confirmed standard picture from SN simulations

Recent re-analysis of SN 1987A neutrino burst with current SN simulations.

*[Fiorillo et al., Phys. Rev. D 108 (2023)]*

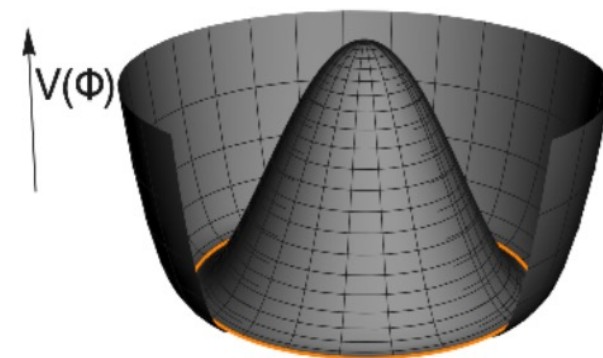
# Axions and Axion-like particles

The QCD axion is a hypothetical pseudoscalar particle postulated to solve the strong-CP problem of QCD [Peccei & Quinn, *Phys. Rev. Lett.* 38 (1977)] [Weinberg, *Phys. Rev. Lett.* 40 (1978)] [Wilzcek, *Phys. Rev. Lett.* 40 (1978)].

$$\mathcal{L}_{\text{CP}} = \theta \frac{\alpha_s}{8\pi} G_{\mu\nu}^a G_{\mu\nu}^{\tilde{a}}$$

The QCD axion acquires a small mass from its coupling to QCD

$$m_a = 5.70(6)(4) \mu\text{eV} \left( \frac{10^{12} \text{GeV}}{f_a} \right)$$



Axion-like particles (ALPs) emerge in UV completions of the Standard Model

➤ No relation between their masses and couplings

# ALPs nuclear interactions

- Axions and ALPs could interact with all the Standard model particles [*Grilli di Cortona & al., JHEP 01 (2016) 034*]

$$\mathcal{L}_a \supset \frac{\alpha_s}{8\pi} \frac{C_g}{f_a} a G_{\mu\nu}^a \tilde{G}^{a\mu\nu} - \frac{1}{4} g_{a\gamma} a F_{\mu\nu} \tilde{F}^{\mu\nu} - \sum_{\psi} \frac{g_{a\psi}}{2m_{\psi}} \bar{\psi} \gamma_{\mu} \gamma_5 \psi \partial^{\mu} a$$

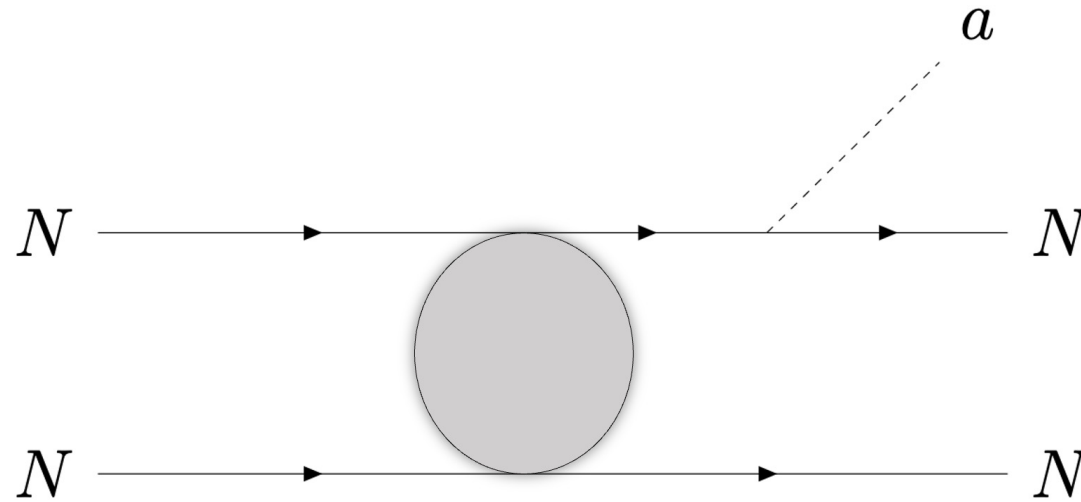
- In ChPT interaction vertexes with baryons and mesons [*Ho & al., Phys. Rev. D 107 (2023)*]

$$\begin{aligned} \mathcal{L}_{\text{int}} = g_a \frac{\partial_{\mu} a}{2m_N} & \left[ C_{ap} \bar{p} \gamma^{\mu} \gamma_5 p + C_{an} \bar{n} \gamma^{\mu} \gamma_5 n + \right. \\ & + \frac{C_{a\pi N}}{f_{\pi}} (i\pi^+ \bar{p} \gamma^{\mu} n - i\pi^- \bar{n} \gamma^{\mu} p) + \\ & \left. + C_{aN\Delta} \left( \bar{p} \Delta_{\mu}^+ + \overline{\Delta_{\mu}^+} p + \bar{n} \Delta_{\mu}^0 + \overline{\Delta_{\mu}^0} n \right) \right] \end{aligned}$$

# Axion production in SNe

*[Carenza & al., JCAP 10 (2019) 10,  
Raffelt & Seckel, Phys. Rev. D 52 (1995),  
Hempel, Phys. Rev. C 91 (2015),  
Ericson and Mathiot, Phys. Lett. B 219 (1989)]*

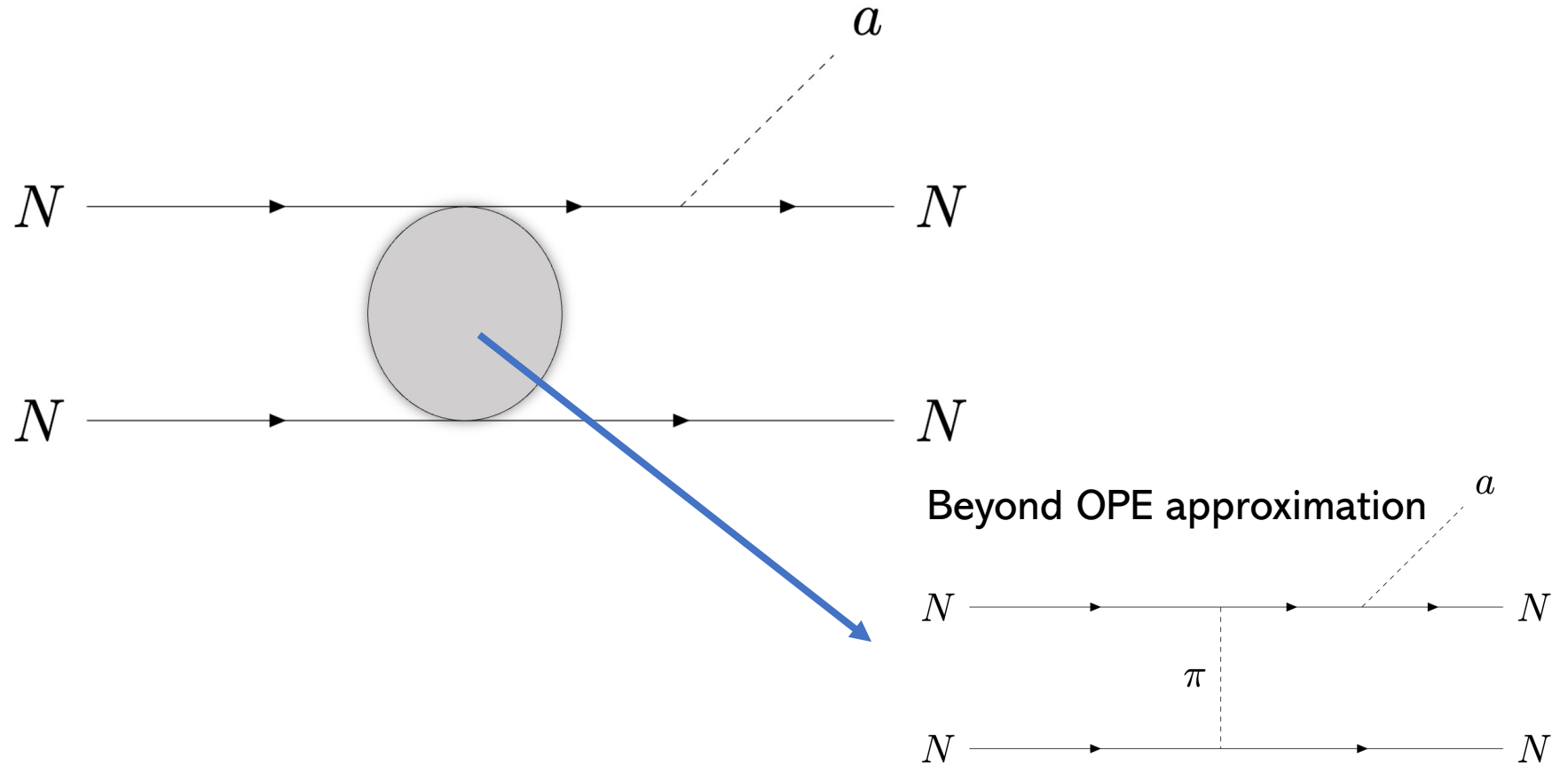
## ➤ Nucleon-Nucleon bremsstrahlung



# Axion production in SNe

[Carenza & al., JCAP 10 (2019) 10,  
Raffelt & Seckel, Phys. Rev. D 52 (1995),  
Hempel, Phys. Rev. C 91 (2015),  
Ericson and Mathiot, Phys. Lett. B 219 (1989)]

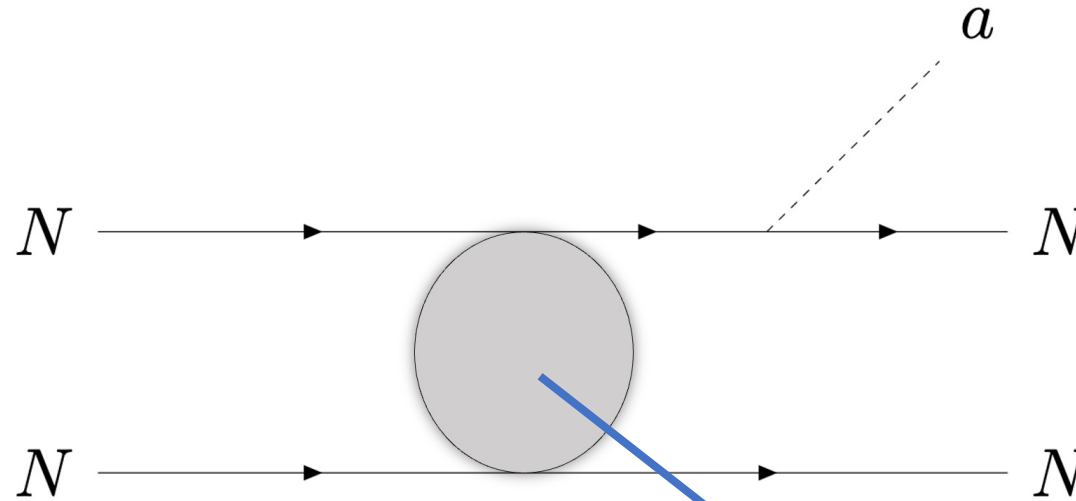
## ➤ Nucleon-Nucleon bremsstrahlung



# Axion production in SNe

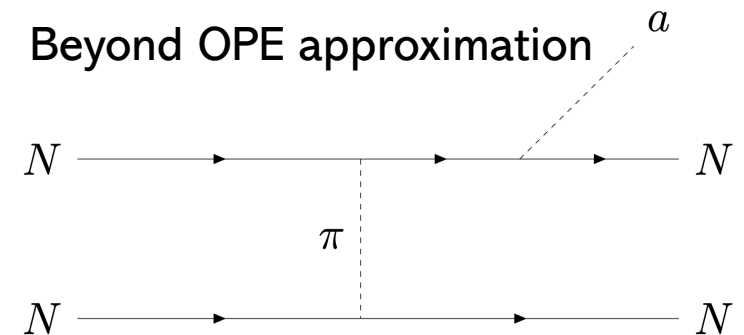
[Carenza & al., JCAP 10 (2019) 10,  
Raffelt & Seckel, Phys. Rev. D 52 (1995),  
Hempel, Phys. Rev. C 91 (2015),  
Ericson and Mathiot, Phys. Lett. B 219 (1989)]

## ➤ Nucleon-Nucleon bremsstrahlung



Nucleons multiple scattering

$$S_\sigma = \frac{\Gamma_\sigma}{\omega^2 + \Gamma^2} s_\sigma$$



Beyond OPE approximation

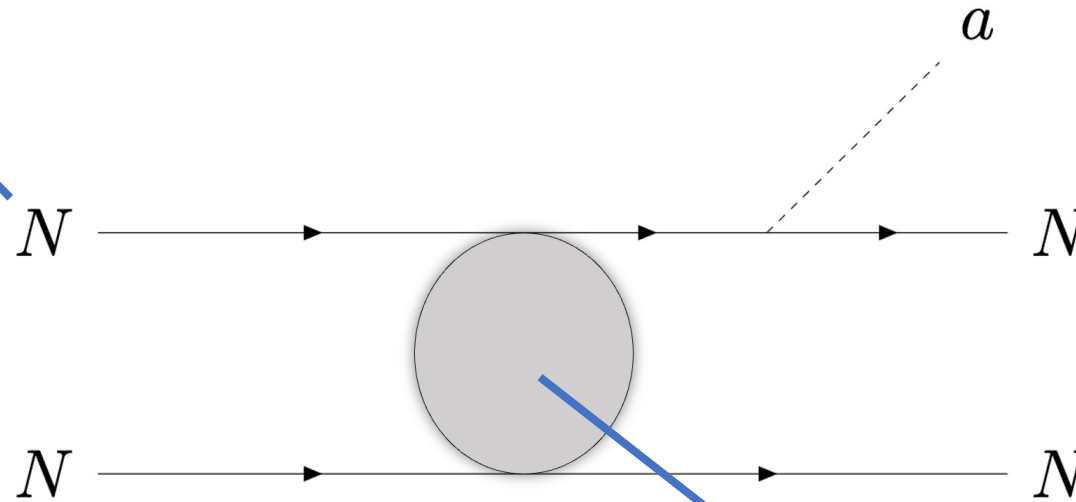
# Axion production in SNe

[Carenza & al., JCAP 10 (2019) 10,  
Raffelt & Seckel, Phys. Rev. D 52 (1995),  
Hempel, Phys. Rev. C 91 (2015),  
Ericson and Mathiot, Phys. Lett. B 219 (1989)]

## ➤ Nucleon-Nucleon bremsstrahlung

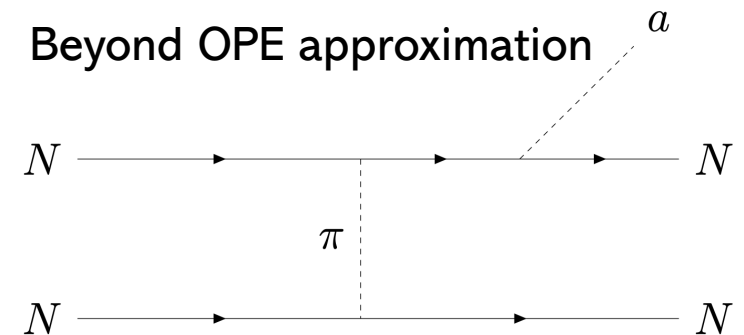
Effective nucleon masses

$$m_N \rightarrow m_N^*$$



Nucleons multiple scattering

$$S_\sigma = \frac{\Gamma_\sigma}{\omega^2 + \Gamma^2} s_\sigma$$



# Axion production in SNe

[Carenza & al., JCAP 10 (2019) 10,  
Raffelt & Seckel, Phys. Rev. D 52 (1995),  
Hempel, Phys. Rev. C 91 (2015),  
Ericson and Mathiot, Phys. Lett. B 219 (1989)]

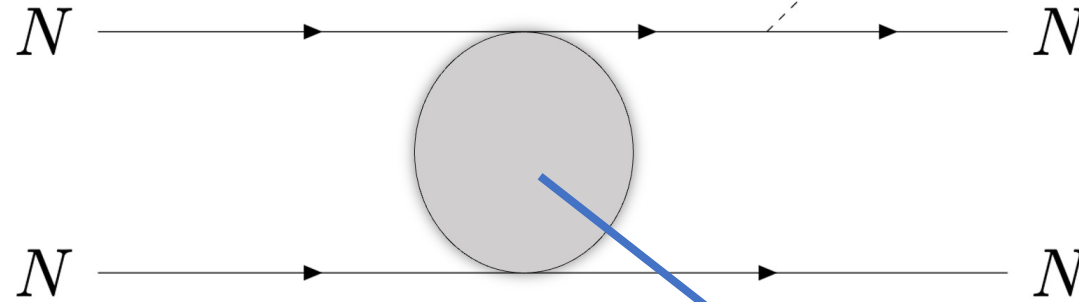
## ➤ Nucleon-Nucleon bremsstrahlung

Effective nucleon masses

$$m_N \rightarrow m_N^*$$

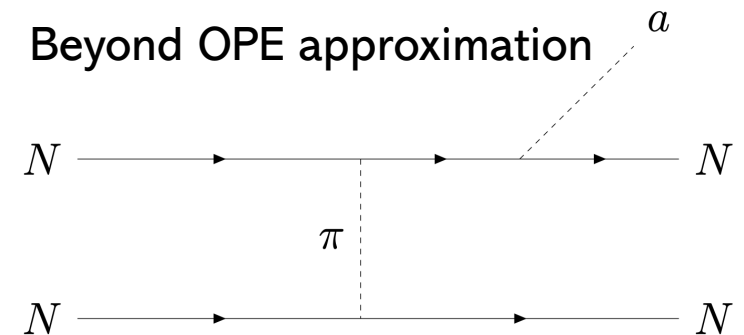
$$a \longrightarrow m_a \neq 0$$

AL & al., Phys. Rev. D 107 (2023)



Nucleons multiple scattering

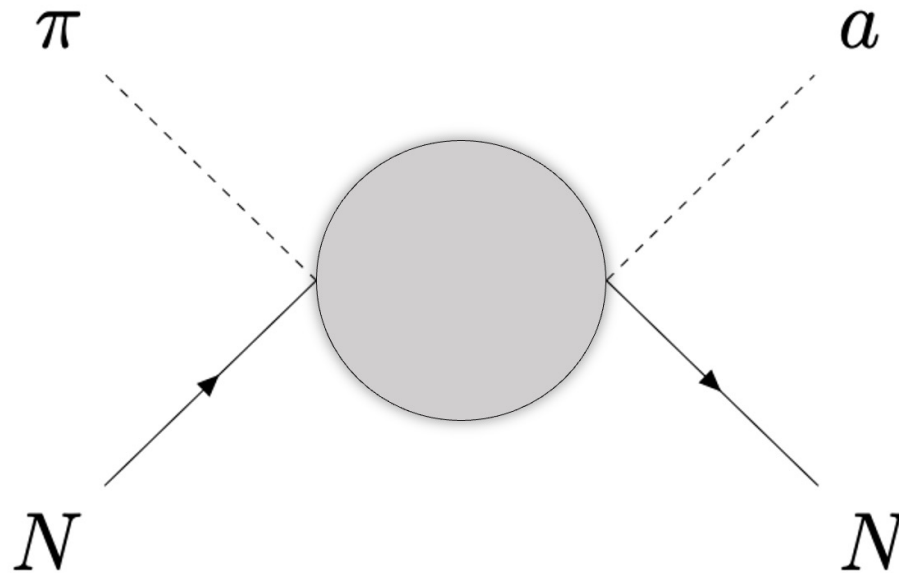
$$S_\sigma = \frac{\Gamma_\sigma}{\omega^2 + \Gamma^2} s_\sigma$$



# Axion production in SNe

## ➤ Pion Conversions

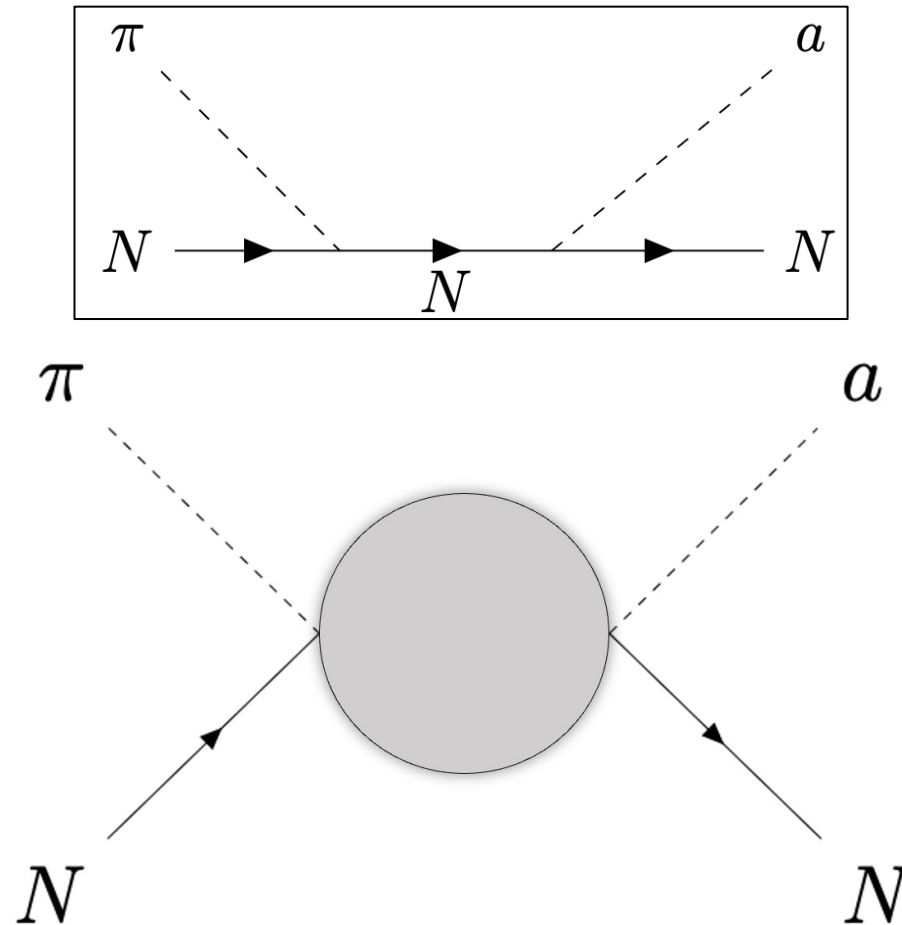
*[Carenza & al., Phys.Rev.Lett. 126 (2021),  
Choi & al., JHEP02 (2022) 143,  
Ho & al., Phys. Rev. D 107 (2023)]*



# Axion production in SNe

## ➤ Pion Conversions

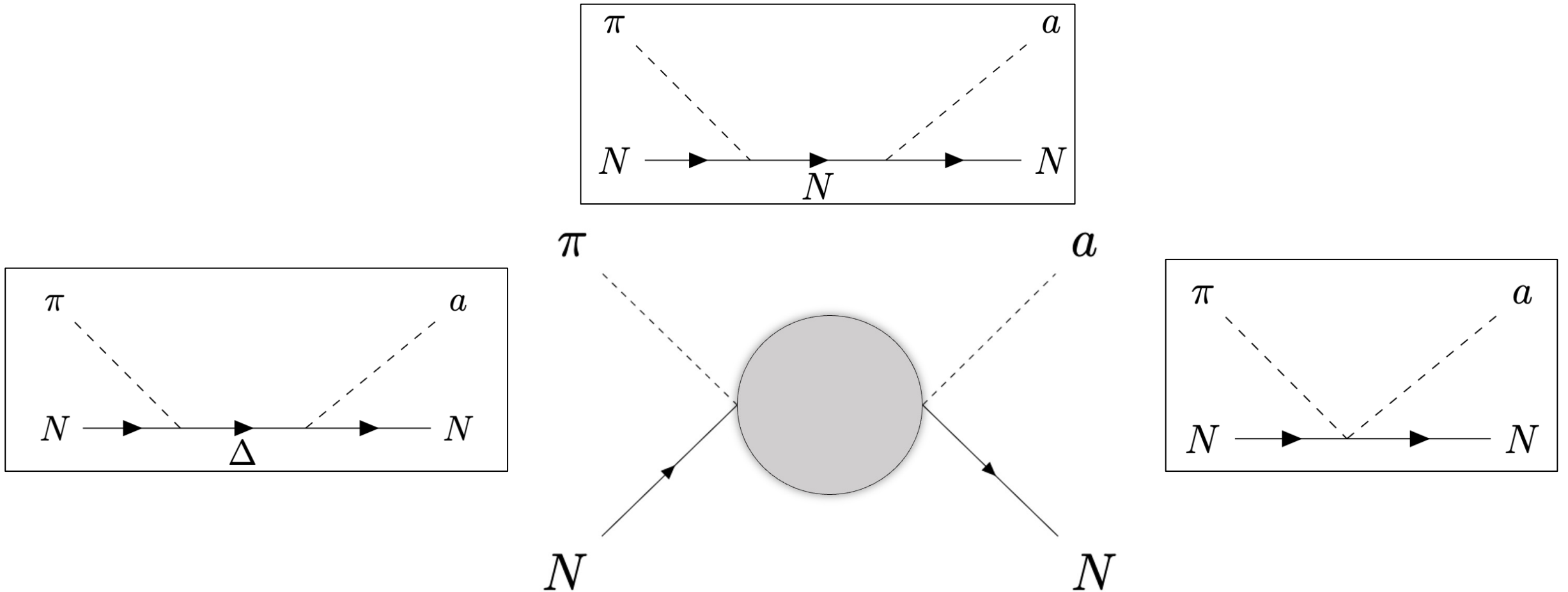
[Carenza & al., *Phys.Rev.Lett.* 126 (2021),  
Choi & al., *JHEP* 02 (2022) 143,  
Ho & al., *Phys. Rev. D* 107 (2023)]



# Axion production in SNe

## ➤ Pion Conversions

[Carenza & al., *Phys.Rev.Lett.* 126 (2021),  
Choi & al., *JHEP* 02 (2022) 143,  
Ho & al., *Phys. Rev. D* 107 (2023)]



# ALP emission spectra

- If ALPs interact weakly with nuclear matter, they can *free-stream* through the SN volume

$$\frac{d^2 N_a}{dE_a dt} = \int_0^\infty 4\pi r^2 dr \frac{d^2 n_a}{dE_a dt}$$

# ALP emission spectra

- If ALPs interact weakly with nuclear matter, they can *free-stream* through the SN volume

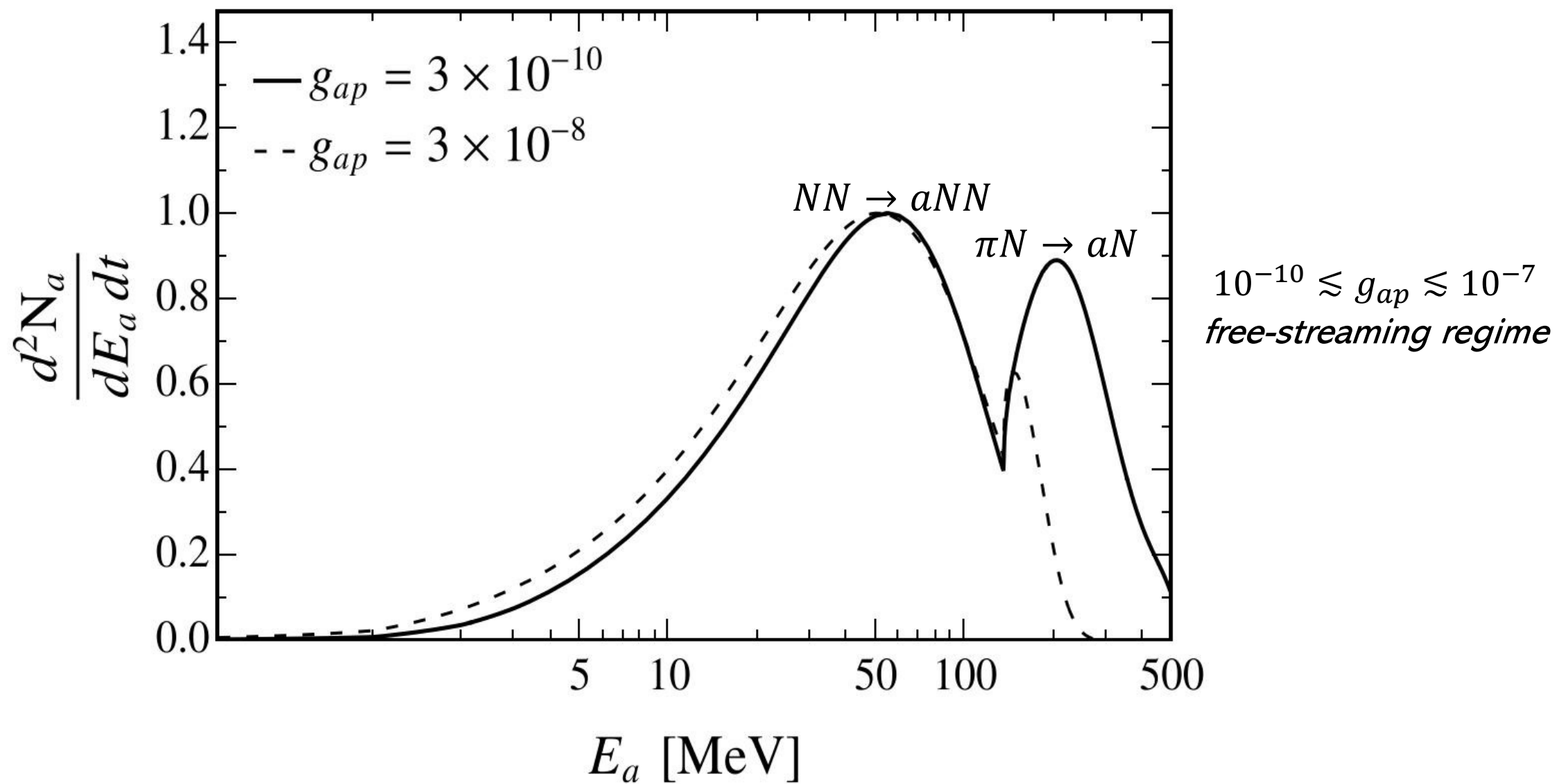
$$\frac{d^2 N_a}{dE_a dt} = \int_0^\infty 4\pi r^2 dr \frac{d^2 n_a}{dE_a dt}$$

- In case of strongly coupled ALPs, they could enter the *trapping regime*  
[Caputo & al., Phys. Rev. D 105 (2022)]

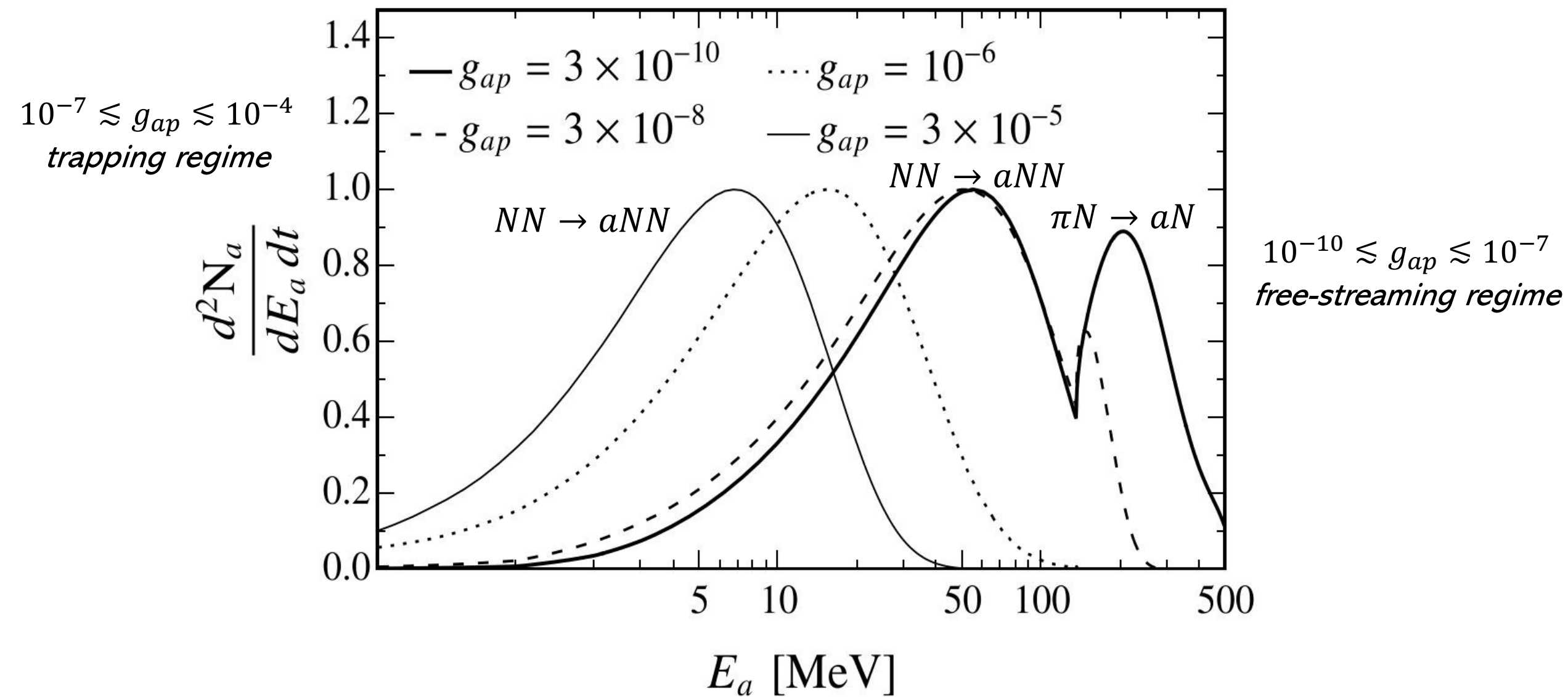
$$\frac{d^2 N_a}{dE_a dt} = \int_0^\infty 4\pi r^2 dr \left\langle e^{-\tau(E_a, r)} \right\rangle \frac{d^2 n_a}{dE_a dt}$$

$$\tau \sim \int_0^\infty dr \lambda_a^{-1} \text{ optical depth for nuclear processes}$$


# ALP emission spectra

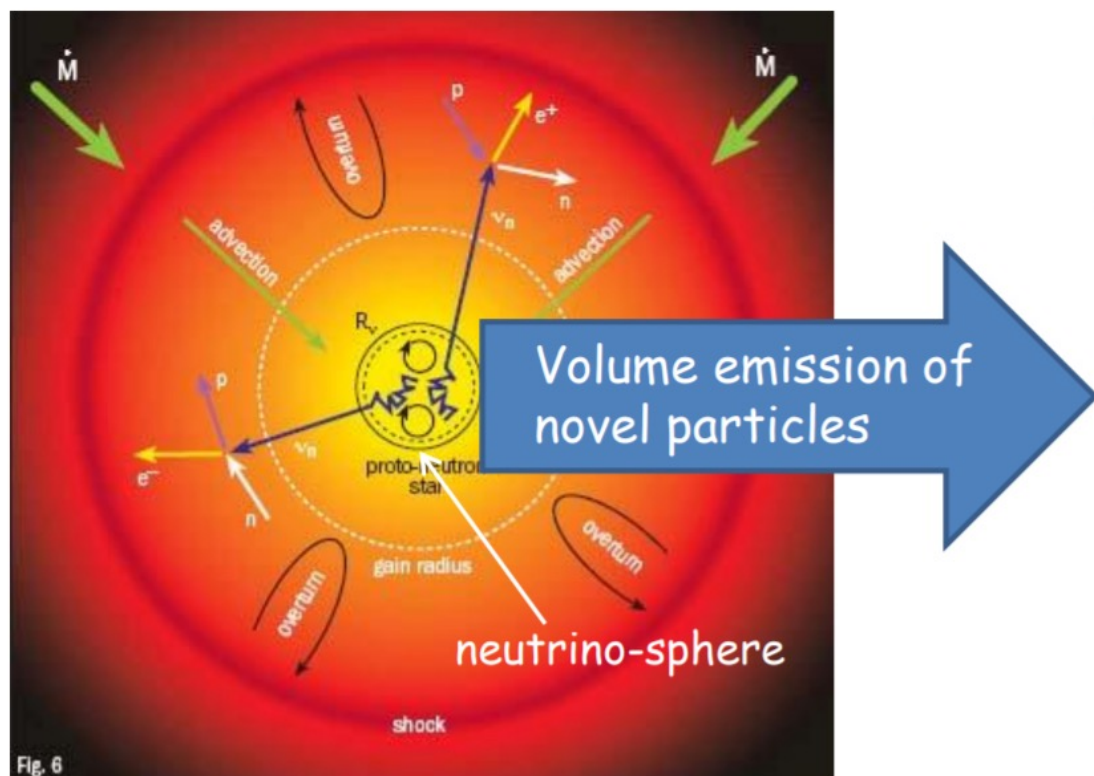


# ALP emission spectra

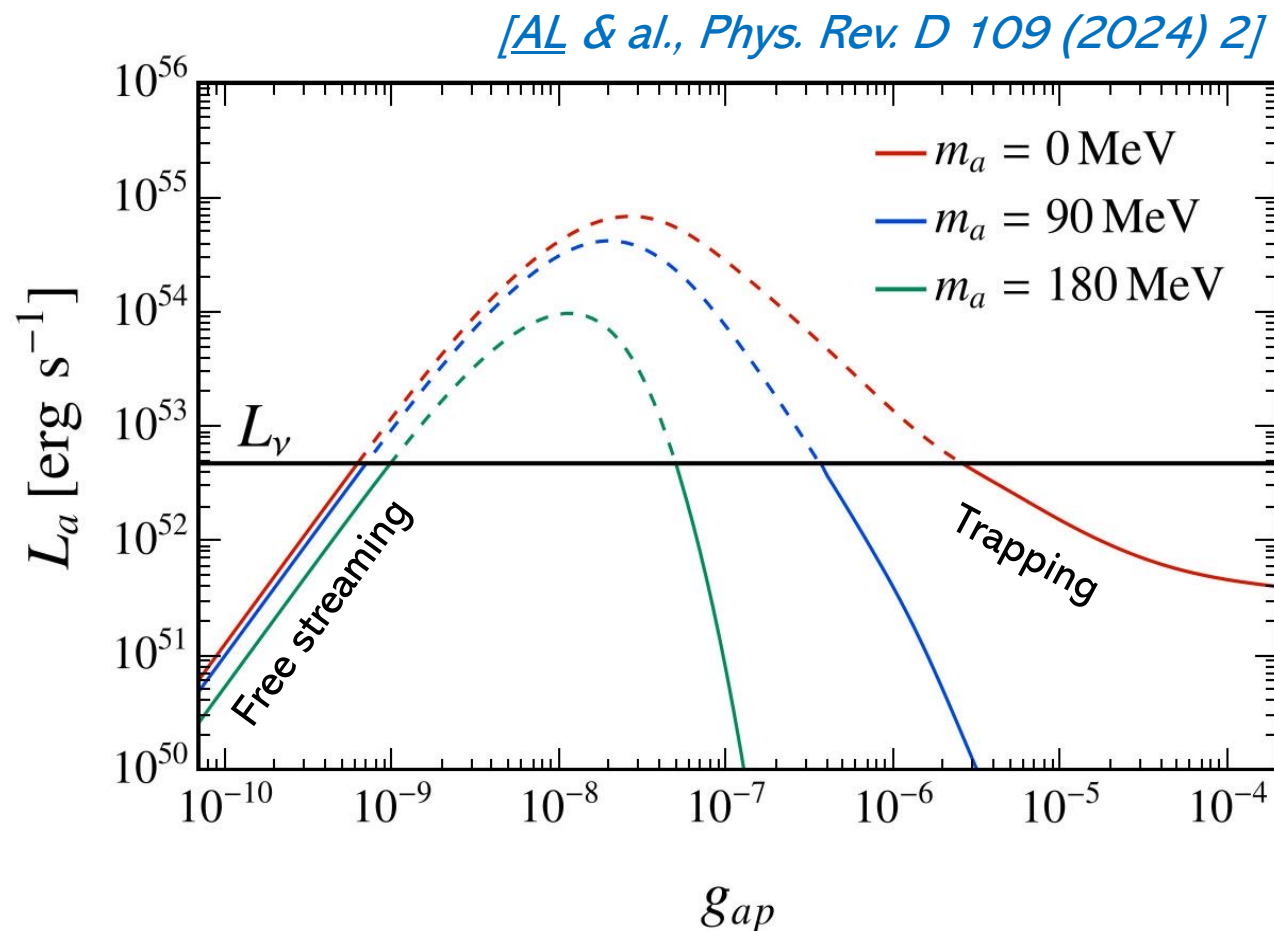


# The energy-loss argument

Emission of exotic particles could cause an excessive energy-loss from SN, affecting the neutrino burst.



[Raffelt & Seckel, *Phys. Rev. Lett.* 60 (1998)]



[AL & al., *Phys. Rev. D* 109 (2024) 2]

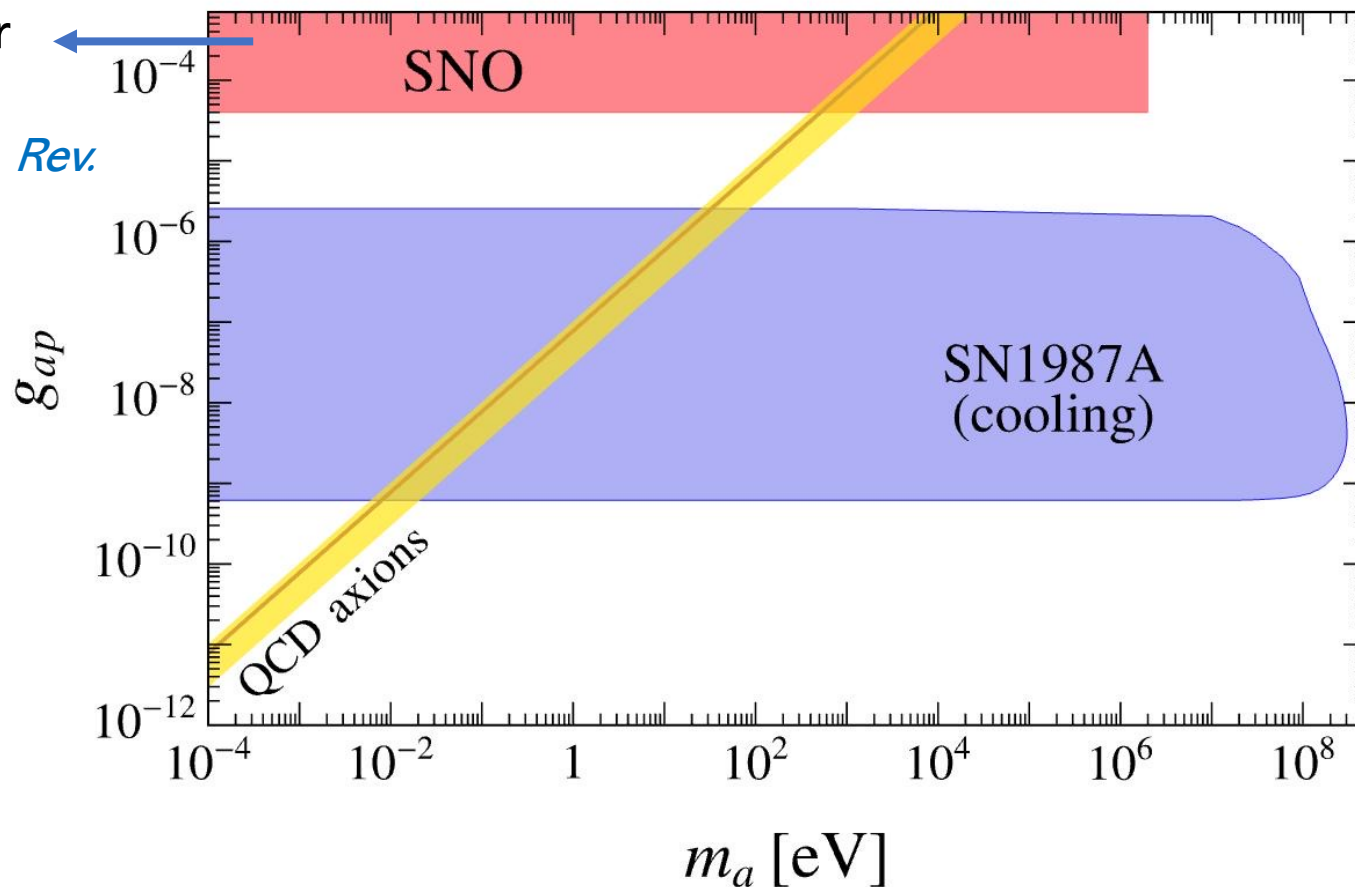
# The energy-loss argument

Assuming that ALP emission did not shorten the duration of the neutrino burst more than  $\sim 1/2$ , we require that [*Raffelt, Phys. Rept. 198 (1990)*]:

$$L_a \lesssim L_\nu \text{ at } t_{\text{pb}} = 1 \text{ s}$$

Searches for solar axions in SNO.

[*Bhusal et al., Phys. Rev. Lett. 126 (2021)*]



[*AL & al., Phys. Rev. D 109 (2024) 2*]

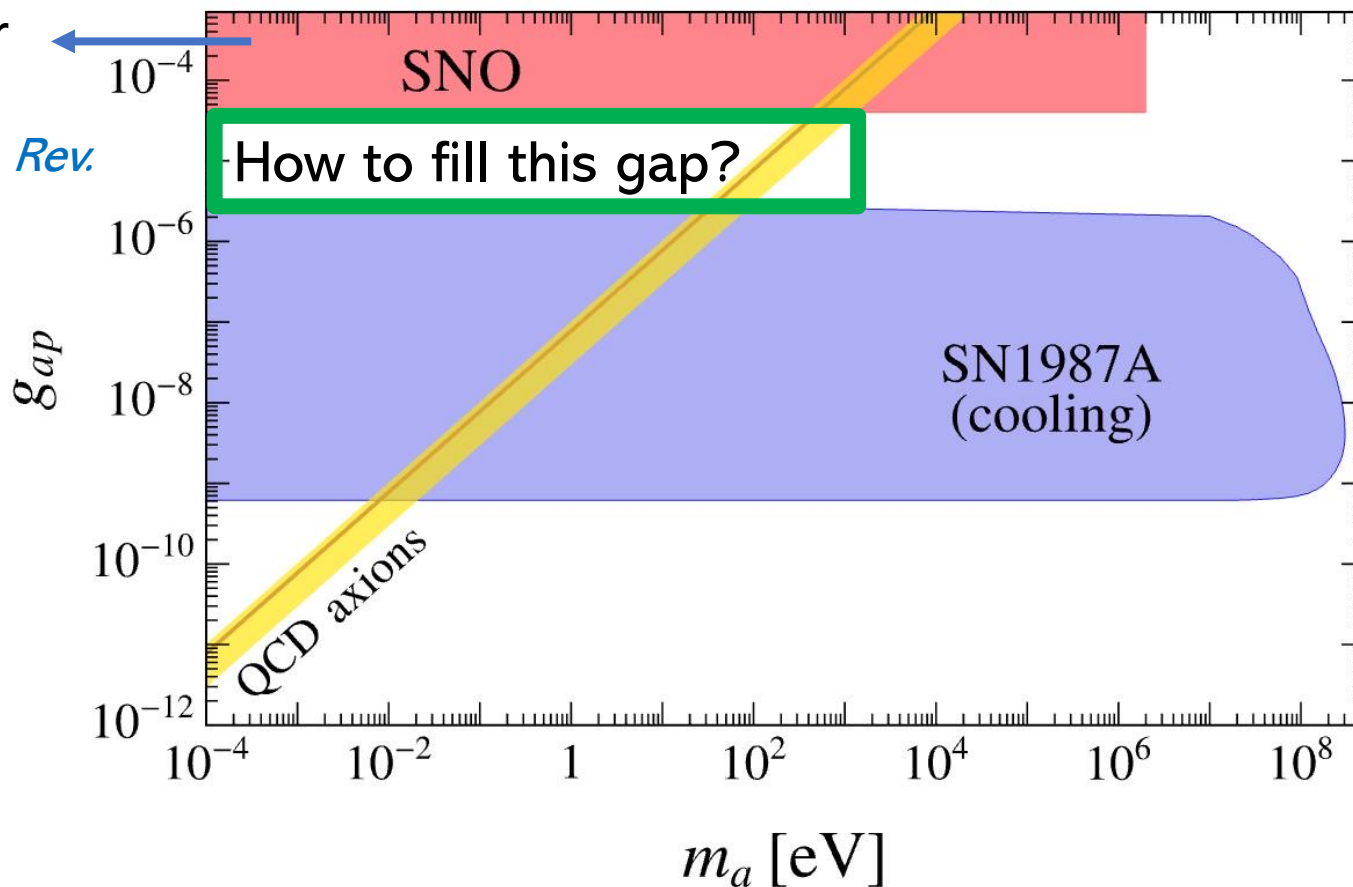
# The energy-loss argument

Assuming that ALP emission did not shorten the duration of the neutrino burst more than  $\sim 1/2$ , we require that [Raffelt, *Phys. Rept.* 198 (1990)]:

$$L_a \lesssim L_\nu \text{ at } t_{\text{pb}} = 1 \text{ s}$$

Searches for solar axions in SNO.

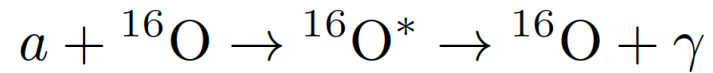
[Bhusal et al., *Phys. Rev. Lett.* 126 (2021)]



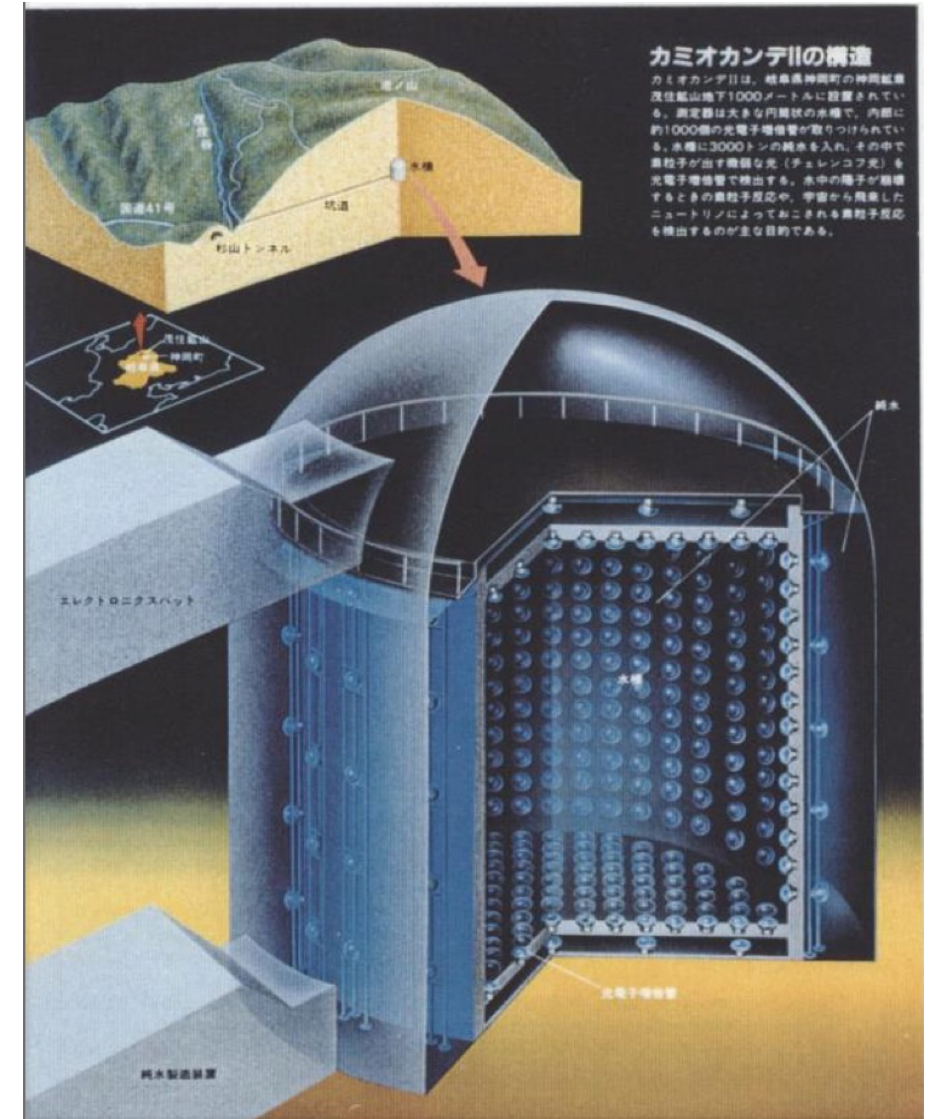
[AL & al.,  
*Phys. Rev. D* 109 (2024) 2]

# Axion signal in Kamiokande II

- In case of strong couplings the ALP flux would have produced a signal in Kamiokande II.
- Seminal idea by Engel, Seckel and Hayes: look for axion-induced excitation of oxygen nuclei [*Engel et al., Phys. Rev. Lett. 65 (1990)*].



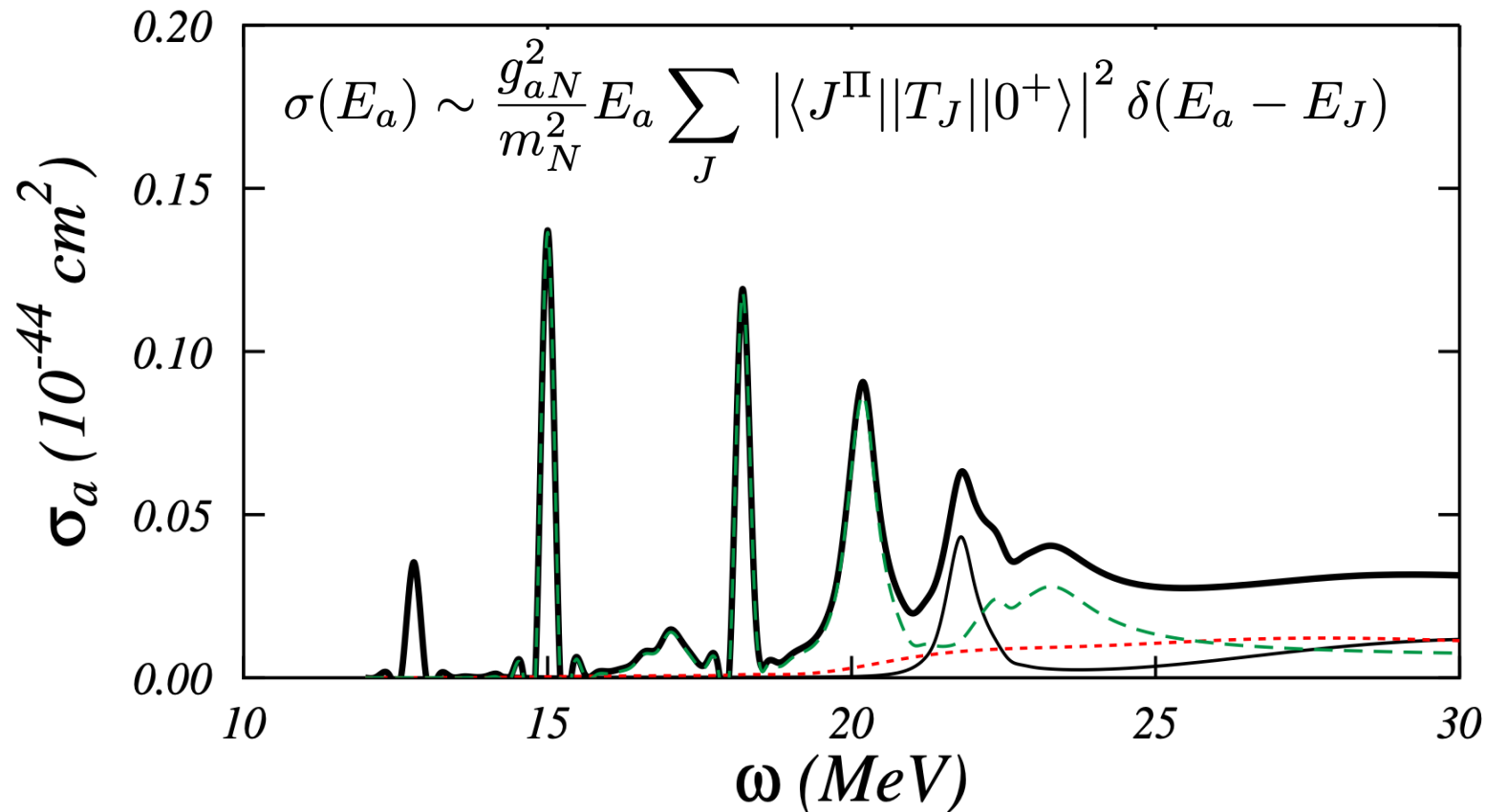
- The computation of the event rate requires:
  - SN explosion models
  - An adequate treatment of trapping regime
  - State-of-the-art nuclear models



# ALP-Oxygen cross section

Introducing  $C_0 = (C_p + C_n)/2$  and  $C_1 = (C_p - C_n)/2$ , Axion-nucleons interactions reads

$$\mathcal{H}_{aN} = -\frac{g_{aN}}{2m_N} \partial_k a \underbrace{\bar{N} \gamma^k \gamma^5 (C_0 + C_1 \tau_3) N}_{\text{Hadronic current}}$$

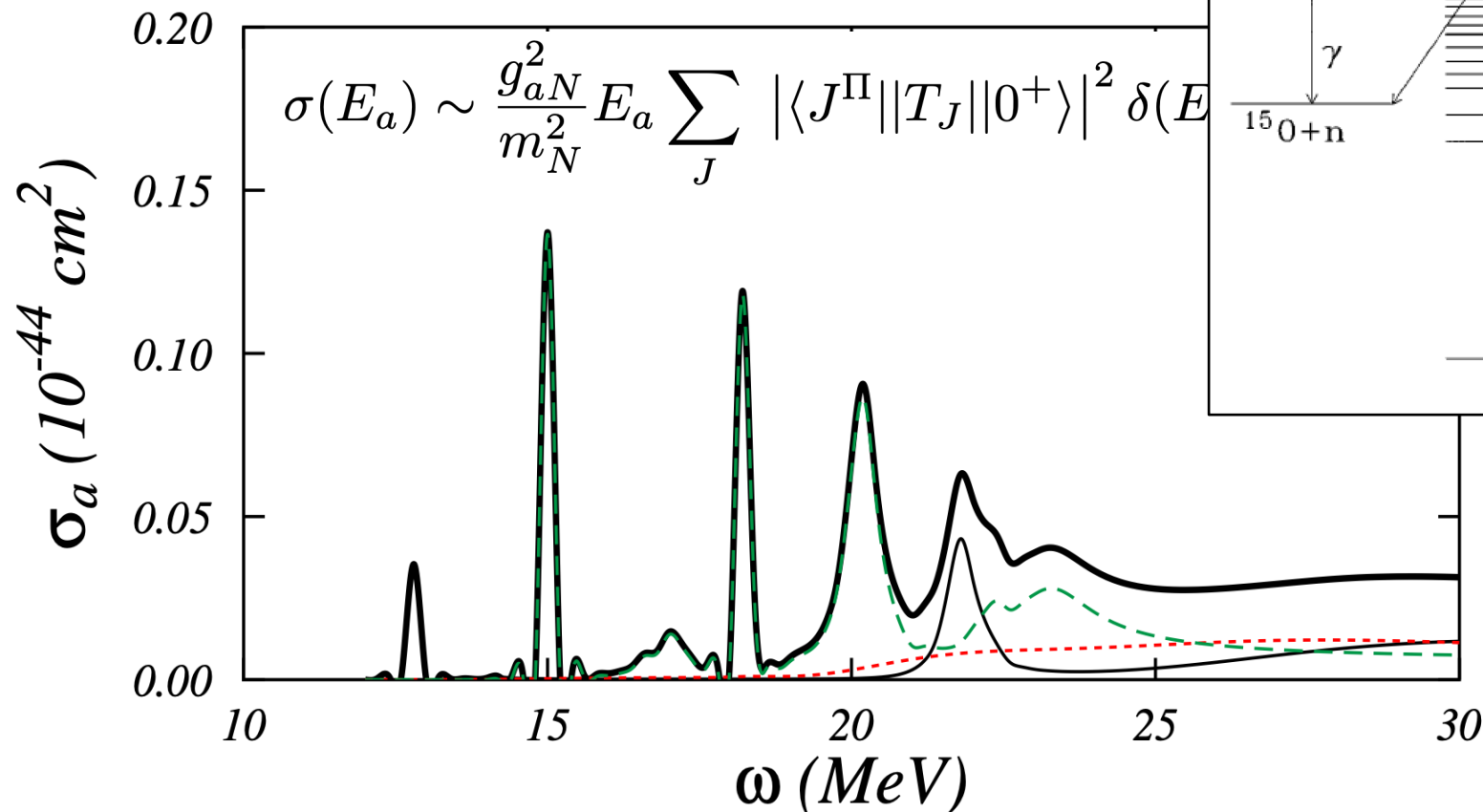


[P. Carenza, G. Co',  
M. Giannotti, AL, G. Lucente,  
A. Mirizzi, T. Rauscher,  
Phys. Rev. C 109 (2024) 1]

# ALP-Oxygen cross section

Introducing  $C_0 = (C_p + C_n)/2$  and  $C_1 = (C_p - C_n)/2$ , Axion-nucleons interactions reads

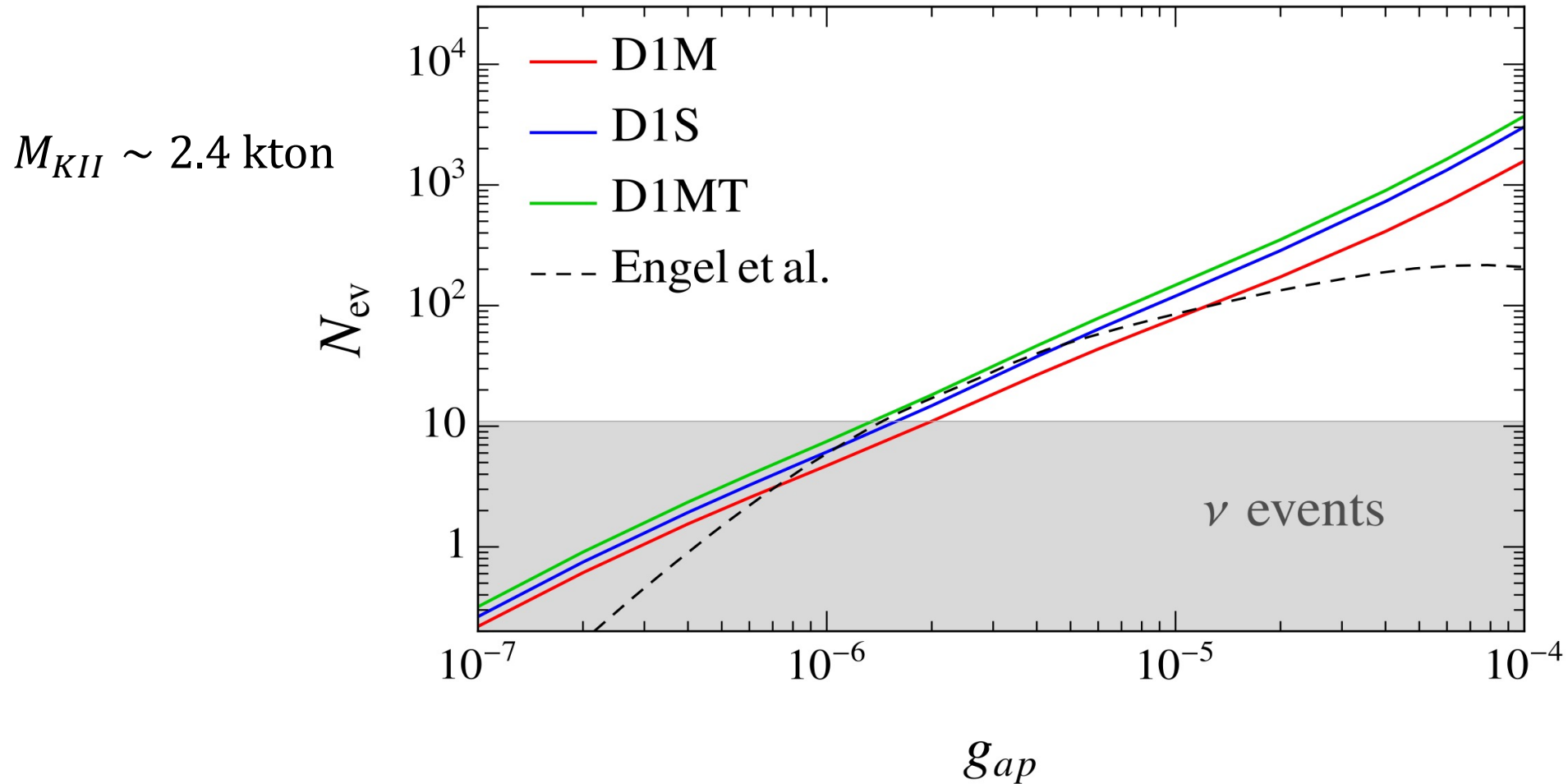
$$\mathcal{H}_{aN} = -\frac{g_{aN}}{2m_N} \partial_k a \underbrace{\bar{N} \gamma^k \gamma^5 (C_0 + C_1 \tau_3)}_{\text{Hadronic current}}$$



[P. Carenza, G. Co',  
M. Giannotti, AL, G. Lucente,  
A. Mirizzi, T. Rauscher,  
Phys. Rev. C 109 (2024) 1]

# Events number in Kamiokande-II

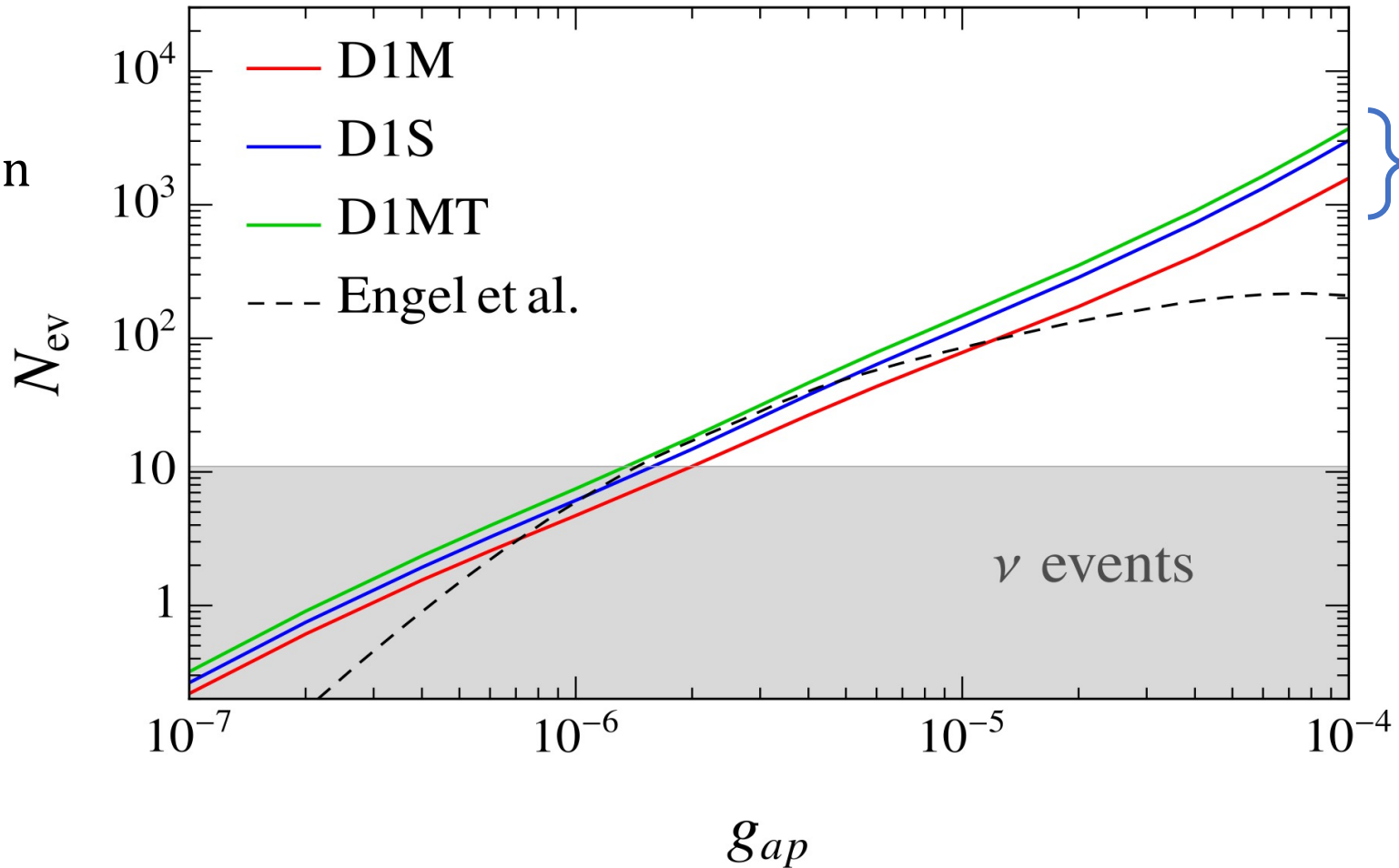
$$N_{\text{ev}} = F_a \otimes \sigma \otimes \mathcal{R} \otimes \mathcal{E}$$



# Events number in Kamiokande-II

$$N_{\text{ev}} = F_a \otimes \sigma \otimes \mathcal{R} \otimes \mathcal{E}$$

$M_{KII} \sim 2.4 \text{ kton}$

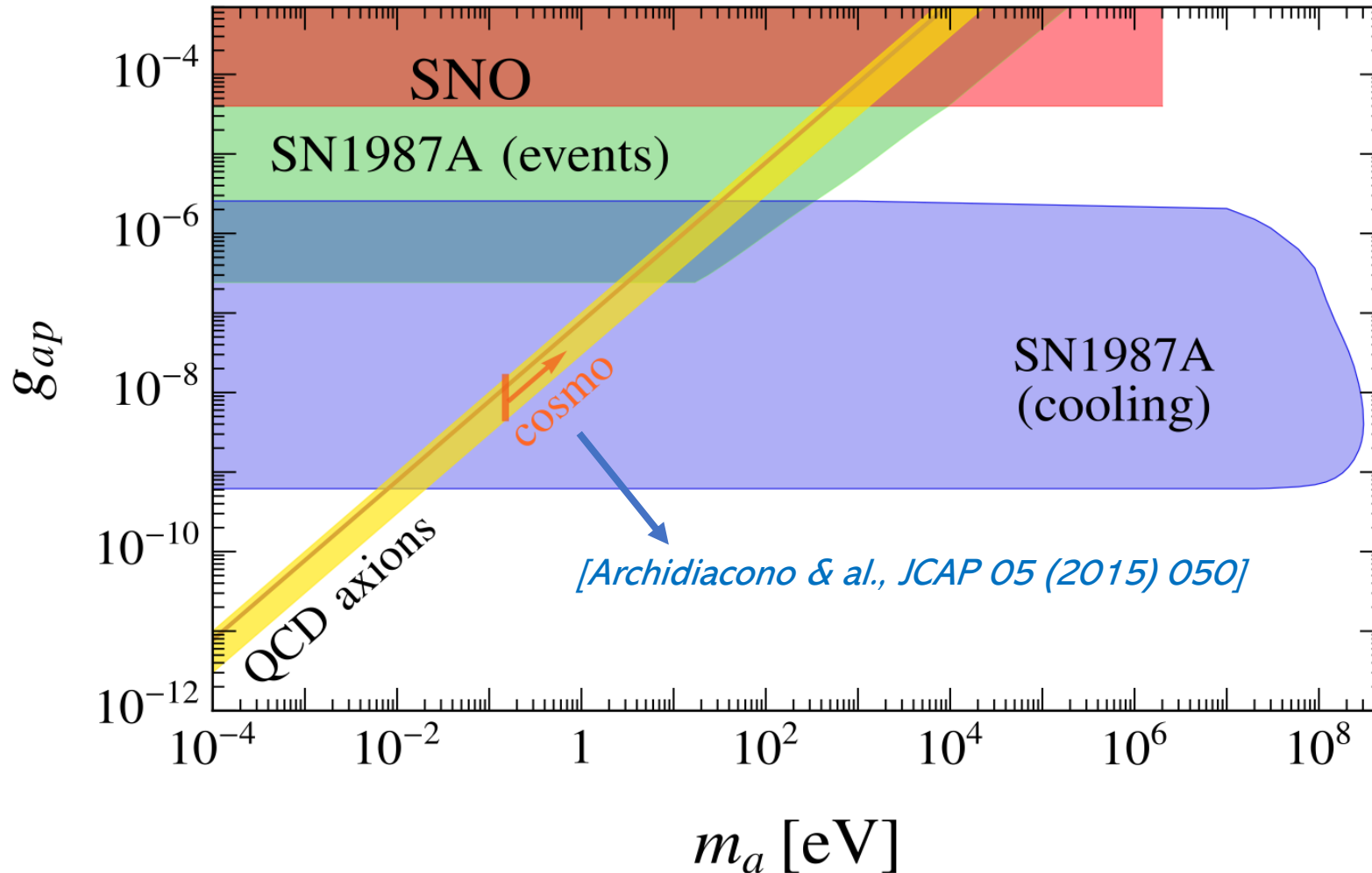


Uncertainties  
 $\mathcal{O}(60\%)$  over the  
nuclear model

# Axion events from SN 1987A

No excess in the background of K-II around SN 1987A event ( $\bar{n}_{bkg} \simeq 0.02$  events/s)

[Kamiokande Coll., Phys. Rev. Lett. 58 (1987) 1490].



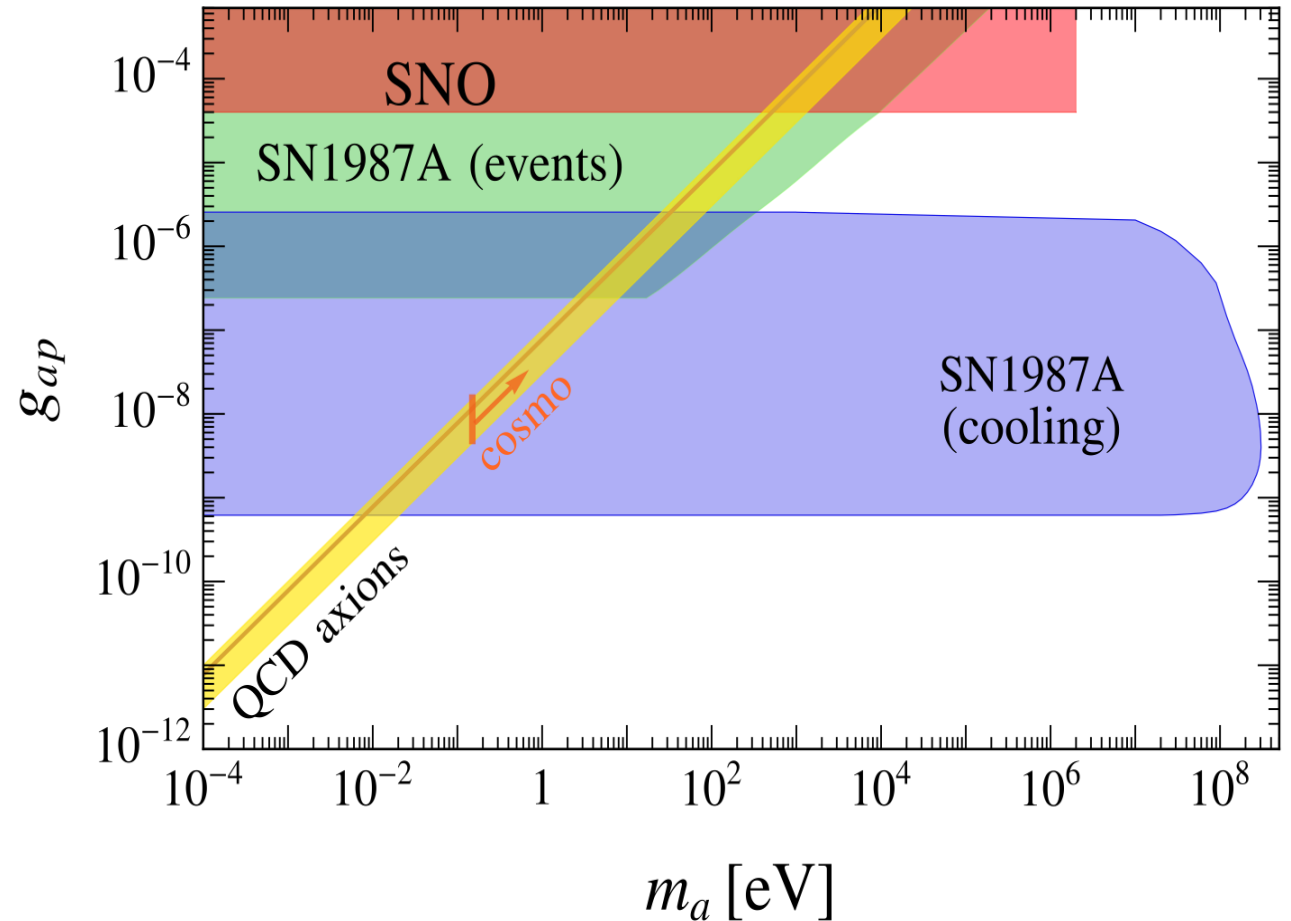
No “hadronic axion window”

[Chang & Choi, Phys. Rev. Lett. 316 (1993)]

[AL & al., Phys. Rev. D 109 (2024) 2]

# Concluding remarks

- Axions and ALPs could be copiously produced in SNe via nuclear processes.
- Adequate treatment required to span from free-streaming to trapping regime
- Supernova arguments alone exclude QCD axion masses  $m_a \gtrsim 10^{-2}$  eV.
- SN bounds exclude detection of HDM axions in future cosmological surveys.



A night sky with the Milky Way galaxy visible, a silhouette of a tree in the foreground, and a desert landscape. The text "Thank you for your attention" is overlaid in the center.

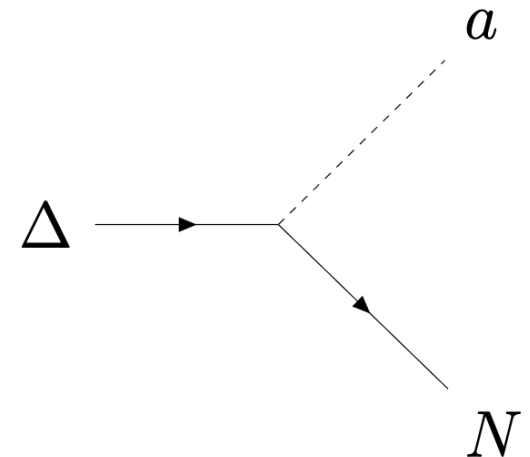
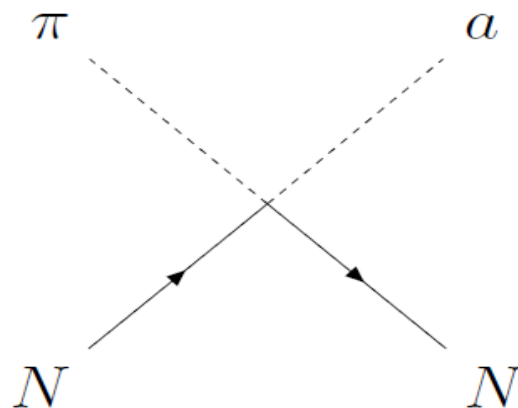
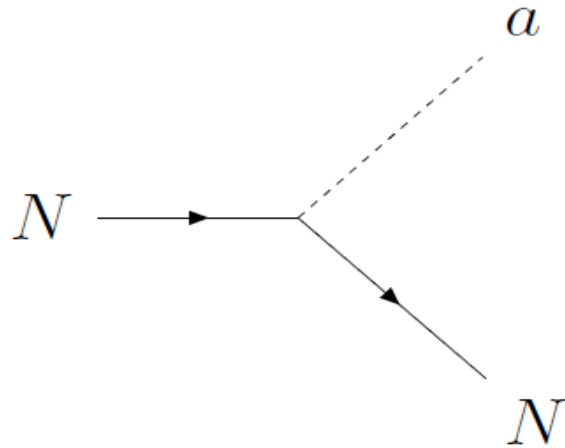
**Thank you for your  
attention**



# ALPs nuclear interactions

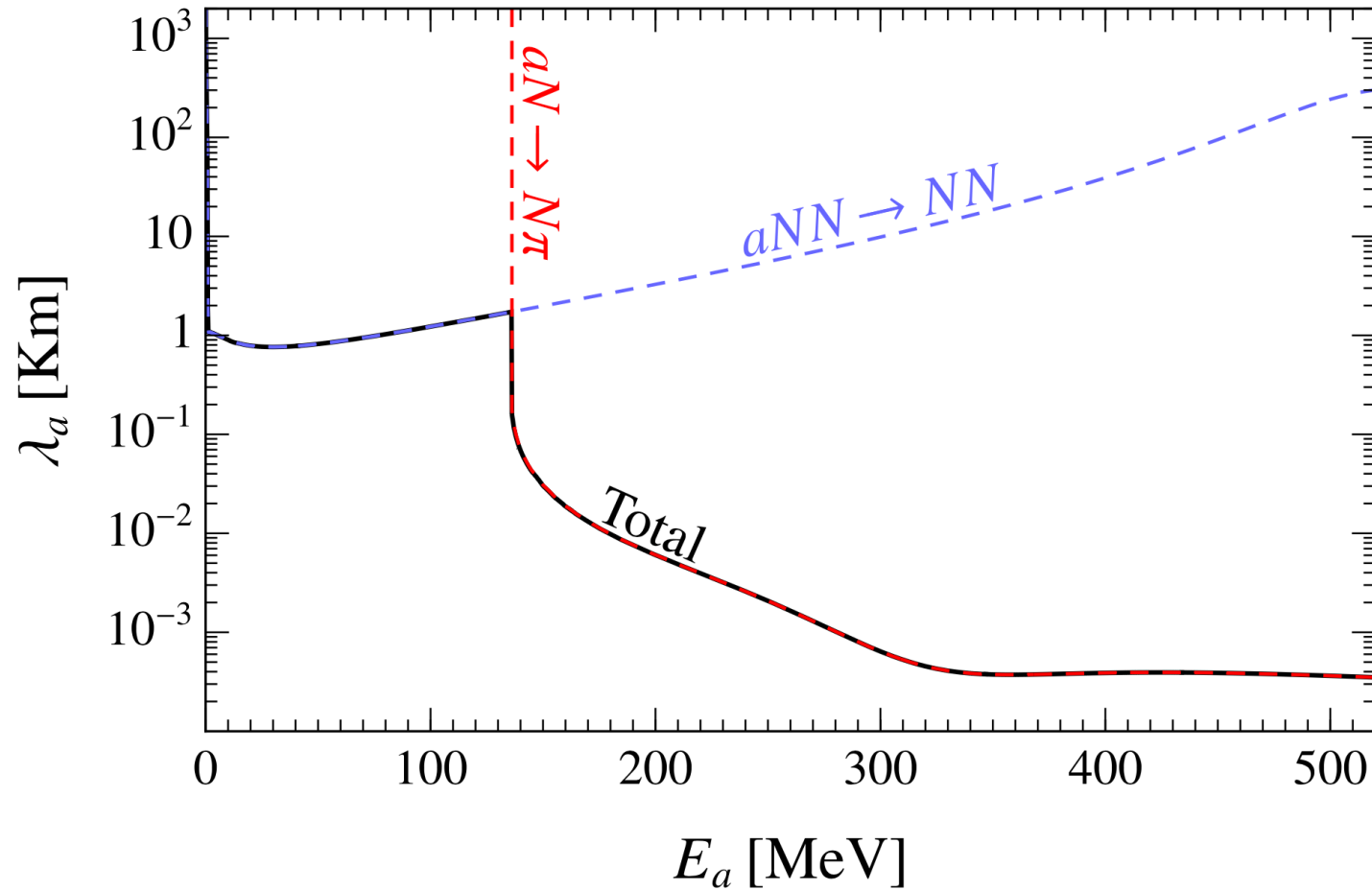
- Axions and ALPs could interact with all the Standard model particles.
- In ChPT interaction vertexes with baryons and mesons [*Ho & al., Phys.Rev.D 107 (2023)*]

$$\mathcal{L}_{nuc} = \sum_N g_{aN} \frac{\partial^\mu a}{2m_N} \bar{N} \gamma_\mu \gamma_5 N + \frac{g_{a\pi N}}{f_\pi} \partial^\mu a (i\pi^+ \bar{p} \gamma_\mu n + h.c.) + g_{aN\Delta} \frac{\partial^\mu a}{2m_N} (\bar{p} \Delta_\mu^+ + h.c.)$$

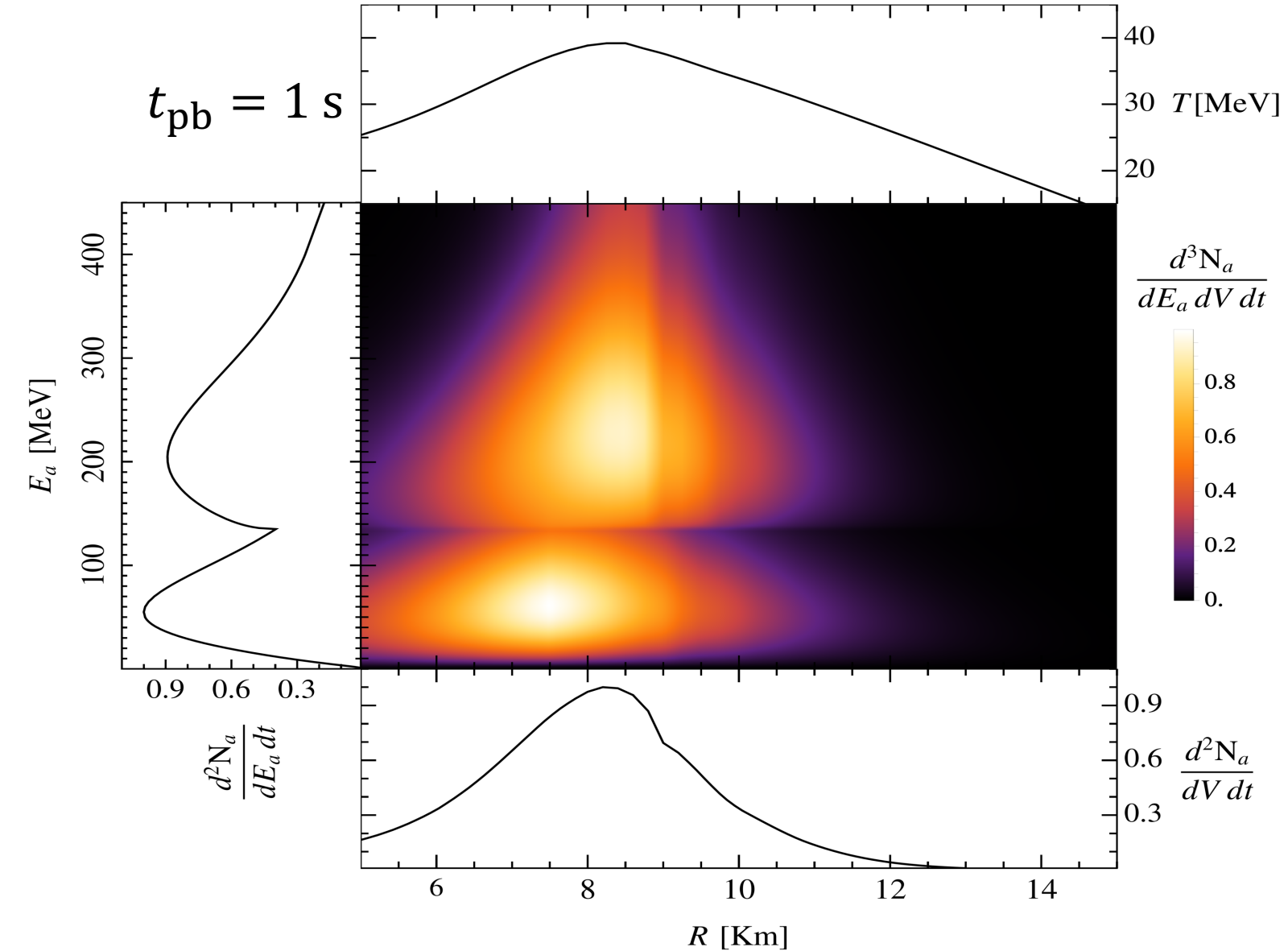


# ALP mean free path

$$\lambda_a^{-1}(E_a) = \frac{1}{2|\mathbf{p}_a|} \frac{d^2 n_a(\chi E_a)}{d\Pi_a dt}$$



# ALP production and SN profiles



ALP nuclear production is extremely sensitive to SN conditions.

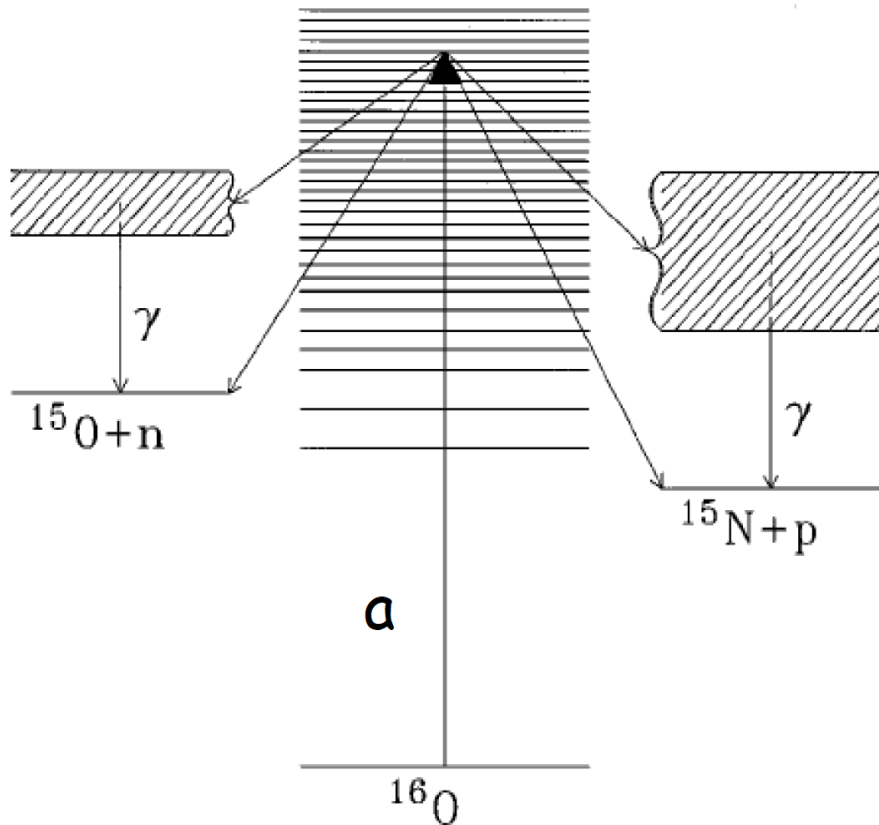
# Axion events from SN 1987A

$$N_{\text{ev}} \lesssim \begin{cases} 2 \sqrt{\bar{n}_{\text{bkg}} \Delta t} & \text{if } m_a \lesssim 17 \text{ eV} \\ 2 \sqrt{\bar{n}_{\text{bkg}} \Delta t_a} & \text{if } m_a > 17 \text{ eV} \end{cases}$$

$$\Delta t \approx 12 \text{ s}$$

$$\begin{aligned} \Delta t_a(m_a) &\approx t(E_{\text{min}}, m_a) - t(E_{\text{max}}, m_a) \\ &\approx 3.83 \text{ s} \left( \frac{m_a}{10 \text{ eV}} \right)^2 \end{aligned}$$

# Oxygen de-excitation



- Excited oxygen states can also decay through non radiative channels ( $\alpha$ -particles, protons, neutrons together with secondary nuclei).
- Branching ratios computed through the *SMARAGD Hauser-Feshbach reaction code* [T. Rauscher, computer code *SMARAGD*, version 0.9.3s, Vol. 103, 2015].
- $\gamma$ -emission accounts for  $\sim 50\%$  of the total de-excitation processes.

# Detector resolution

- Detector energy resolution spreads detected energies around true photon energies.

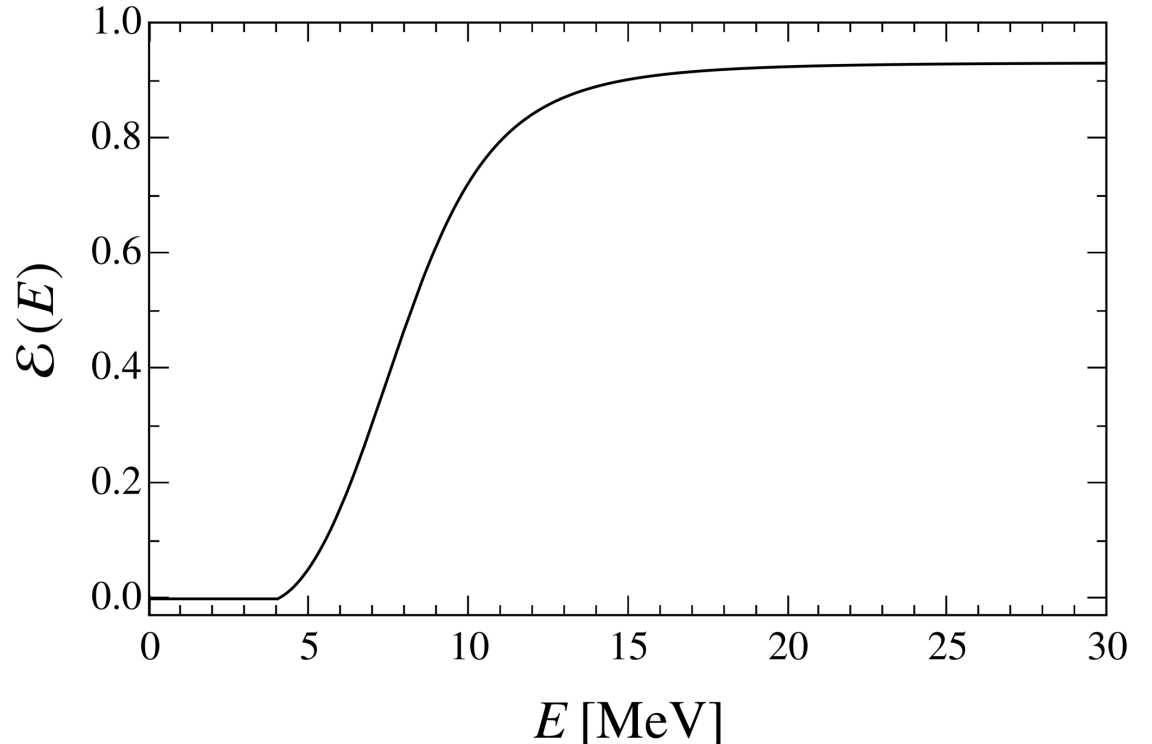
$$\mathcal{R}(E, \epsilon) = \sum_{\omega(\epsilon)} \frac{1}{\sqrt{2\pi\sigma^2}} e^{-(E-\omega(\epsilon))^2/2\sigma^2} BR[\omega(\epsilon)]$$

where  $\sigma_\gamma = \sqrt{0.6 E_\gamma(\epsilon) / \text{MeV}}$

- Detector efficiency can be modelled as  
*[Fiorillo et al., Phys. Rev. D 108 (2023)]*

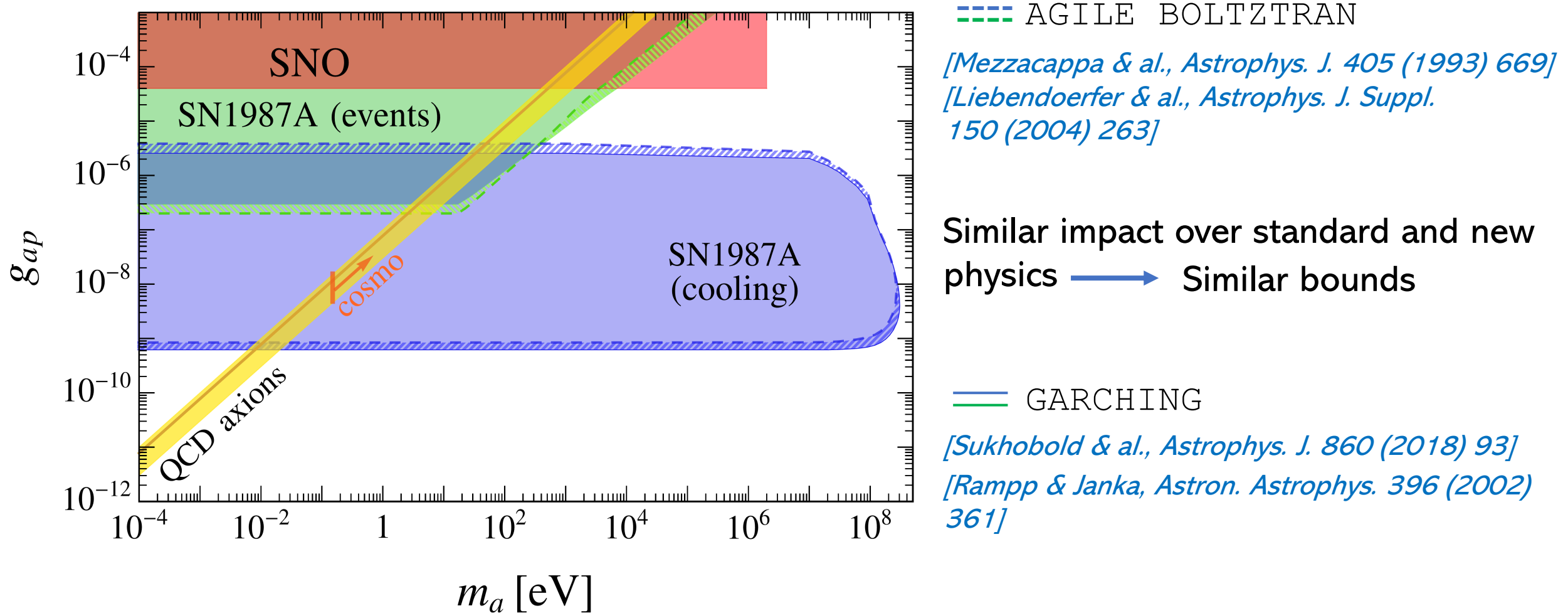
$$\mathcal{E} = \begin{cases} 0 & x < 4 \\ \frac{0.932}{\sqrt{1 + \left(\frac{34}{12 - 7x + x^2}\right)^2}} & x \geq 4 \end{cases}$$

where  $x = E / \text{MeV}$



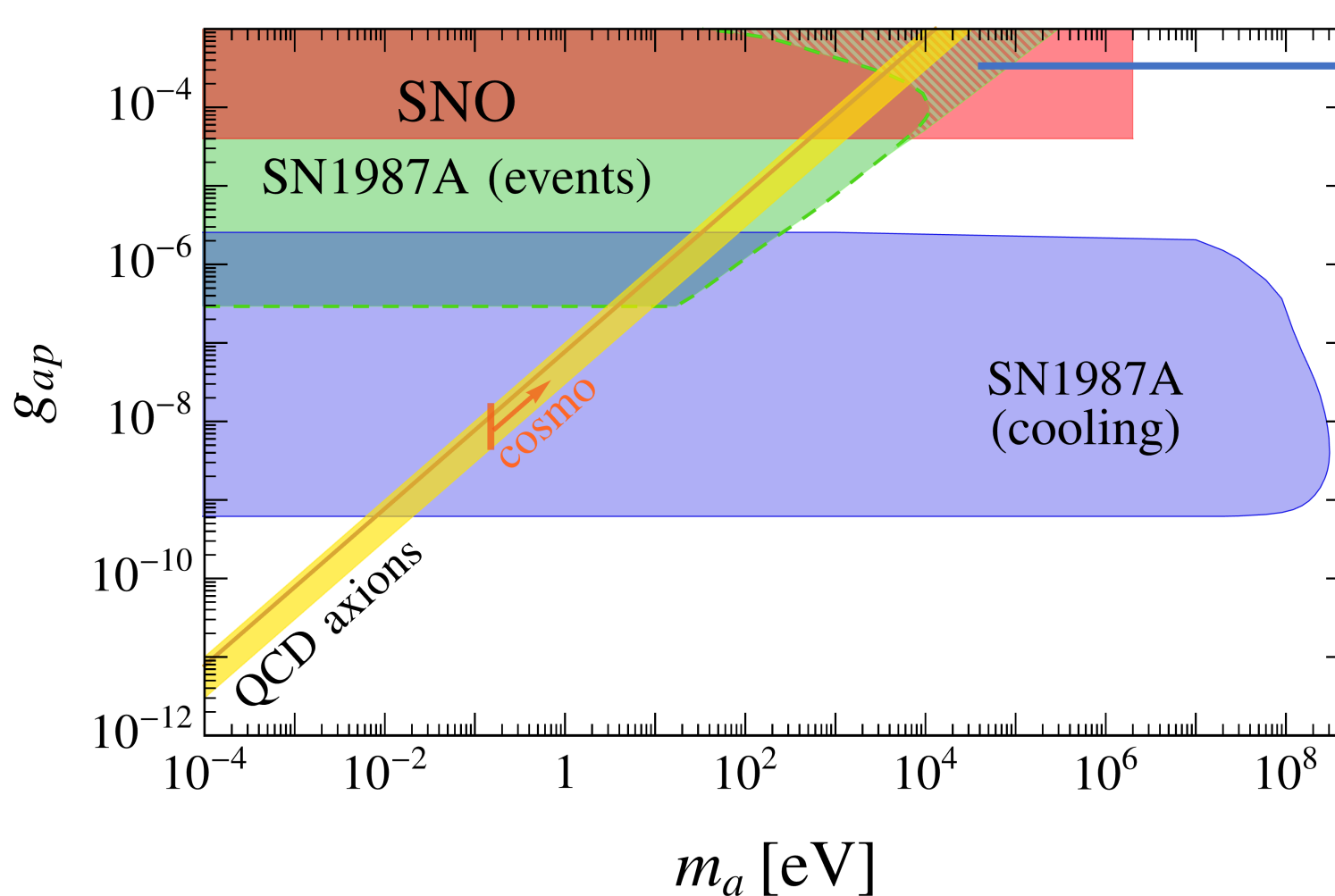
# Uncertainties on SN Bounds

Different SN models from same progenitors ( $\sim 18 M_{\odot}$ ) show different temperature and density profiles.



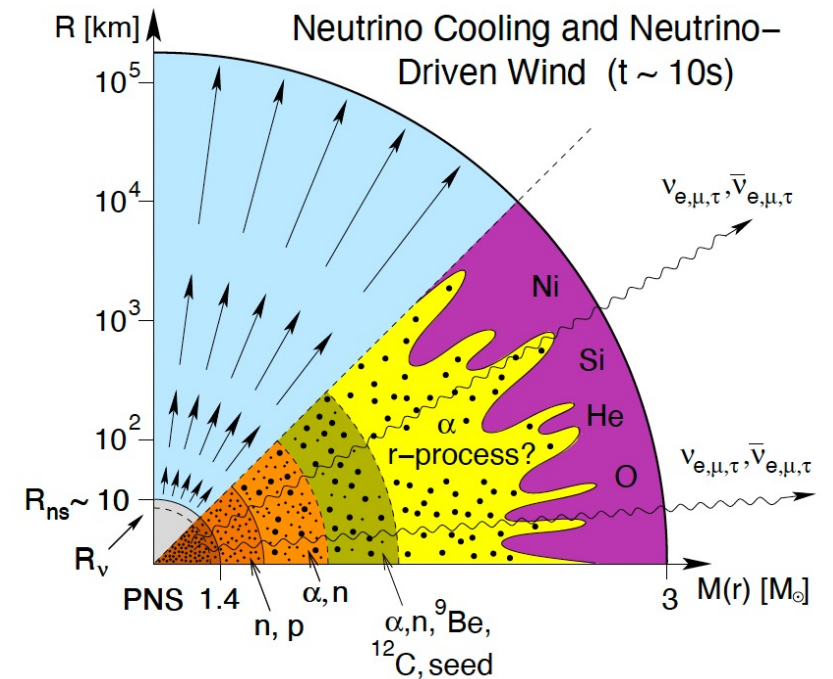
# Uncertainties over SN Bounds

At very high couplings, escaping ALPs can be absorbed by heavy nuclei in the neutrino driven wind



$$\eta_H(E) = \exp \left[ - \int_{R_H}^{\infty} \Gamma_H(E, r) dr \right]$$

$$\Gamma_H(E, r) \sim n_H(r) \sigma(E)$$



# Uncertainties on SN Bounds

Strong interactions can enhance the pion fraction in the SN core

[Fore & Reddy, *Phys.Rev.C* 101 (2020) 3]

